

# Reconnection & ion heating

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*in low- $\beta$  hybrid-kinetic plasma turbulence*

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**Séminaire Lagrange**  
*Observatoire de la Côte d'Azur — 12 November 2019*

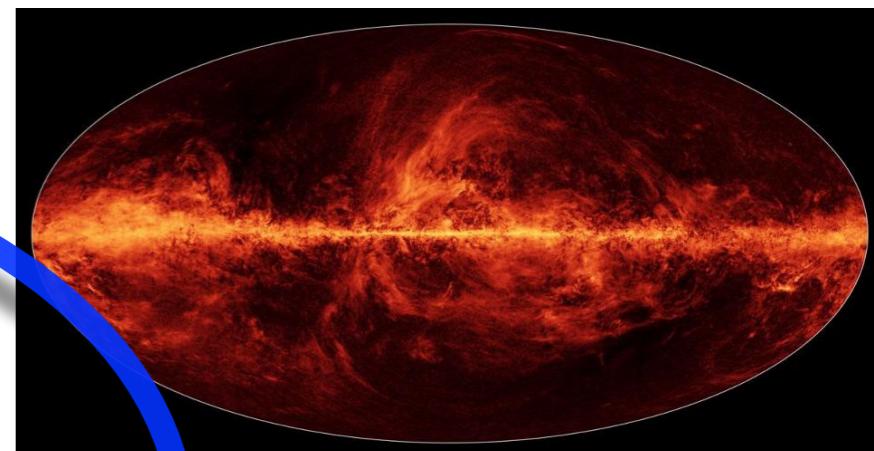
# Outline

- Turbulence & heating in astrophysical and space plasmas
  - ☞ Why do we care about turbulence in “collisionless” plasmas?
  - ☞ The solar wind & space missions (or, “*where we can really learn something about plasma turbulence*”)
  - ☞ What (we think) we know about plasma turbulence and turbulent heating
  - ☞ The NASA Parker Solar Probe mission
- The hybrid-PIC code PEGASUS & simulation setup
- Magnetic reconnection & spectral features of quasi-steady state turbulence
- Ion heating

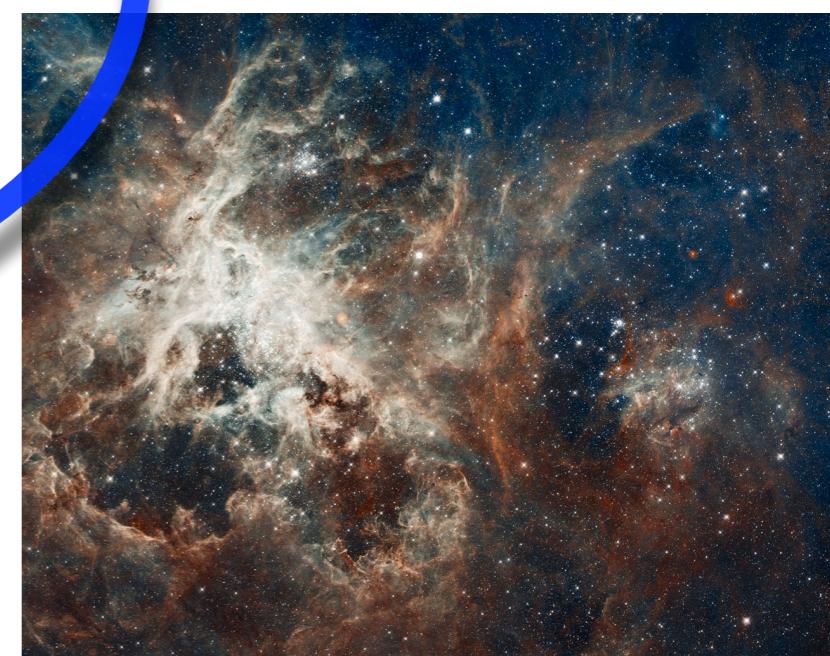
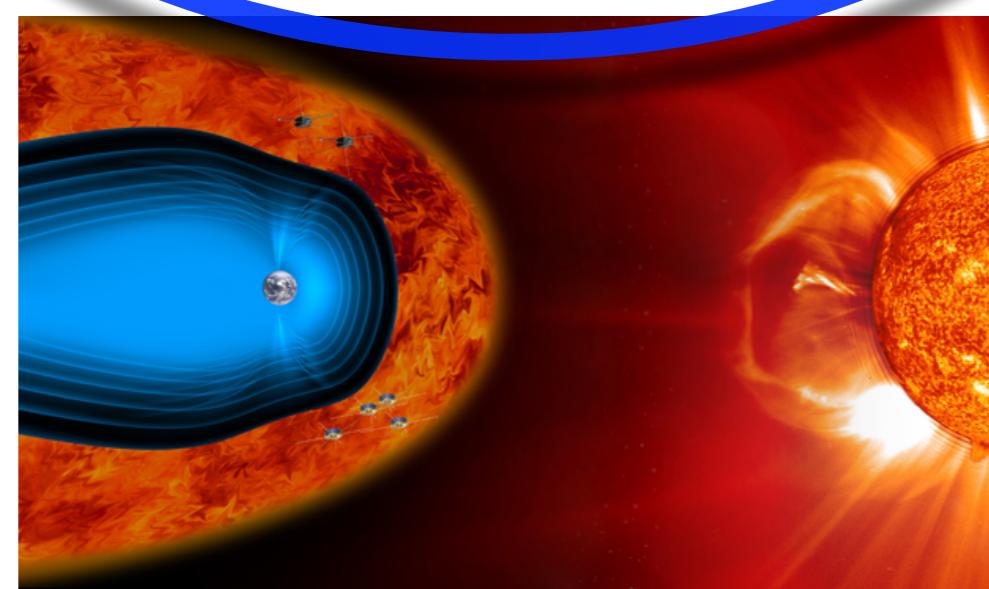
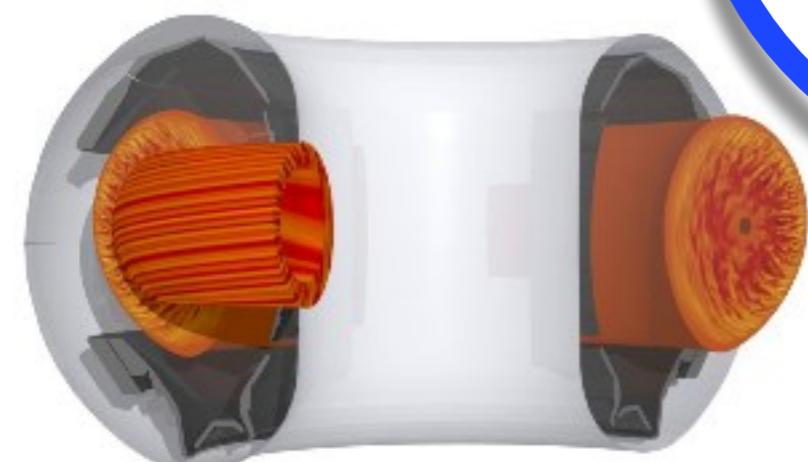
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# Turbulence in “collisionless” plasmas

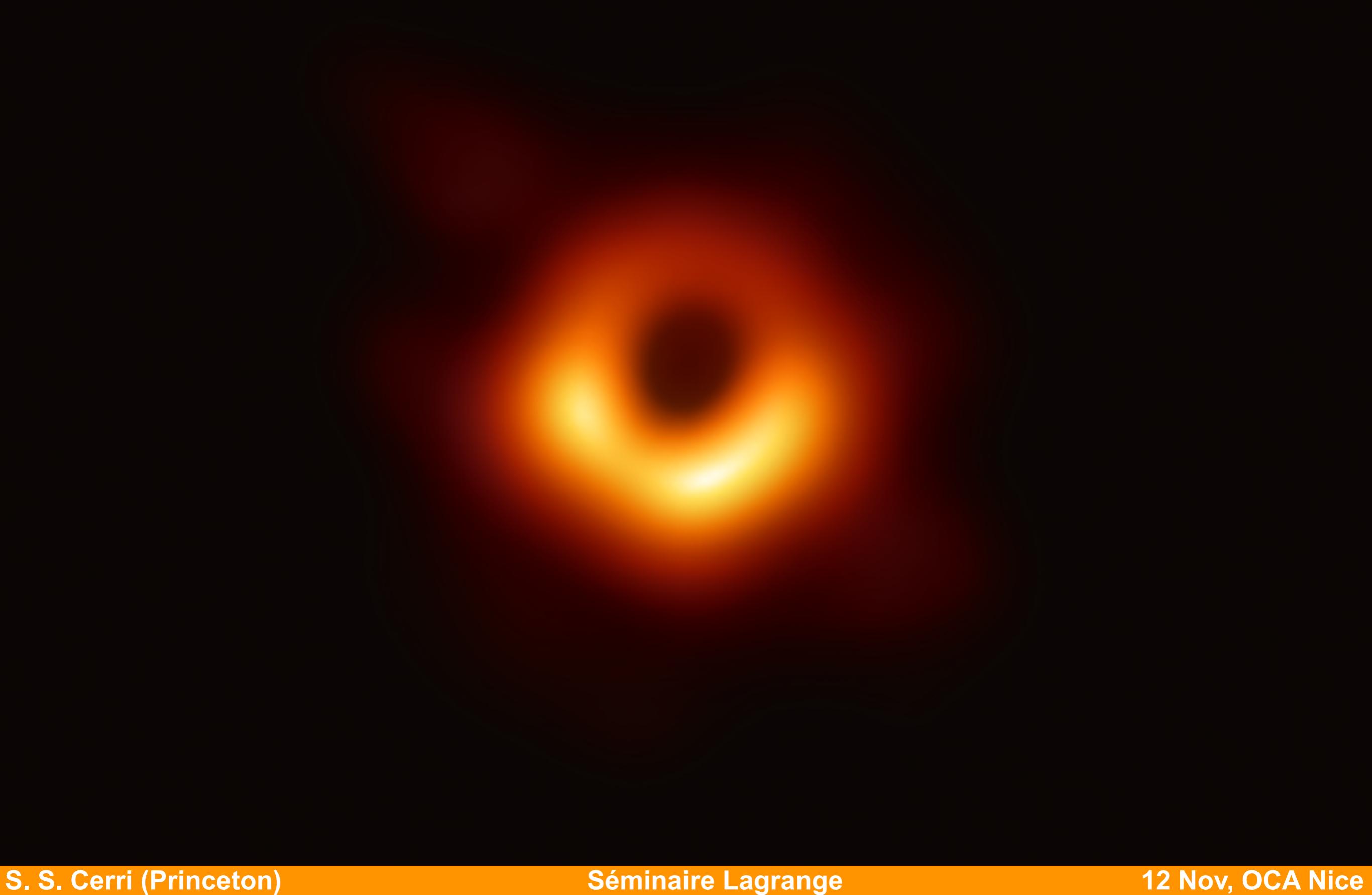


**Plasma  
Turbulence**



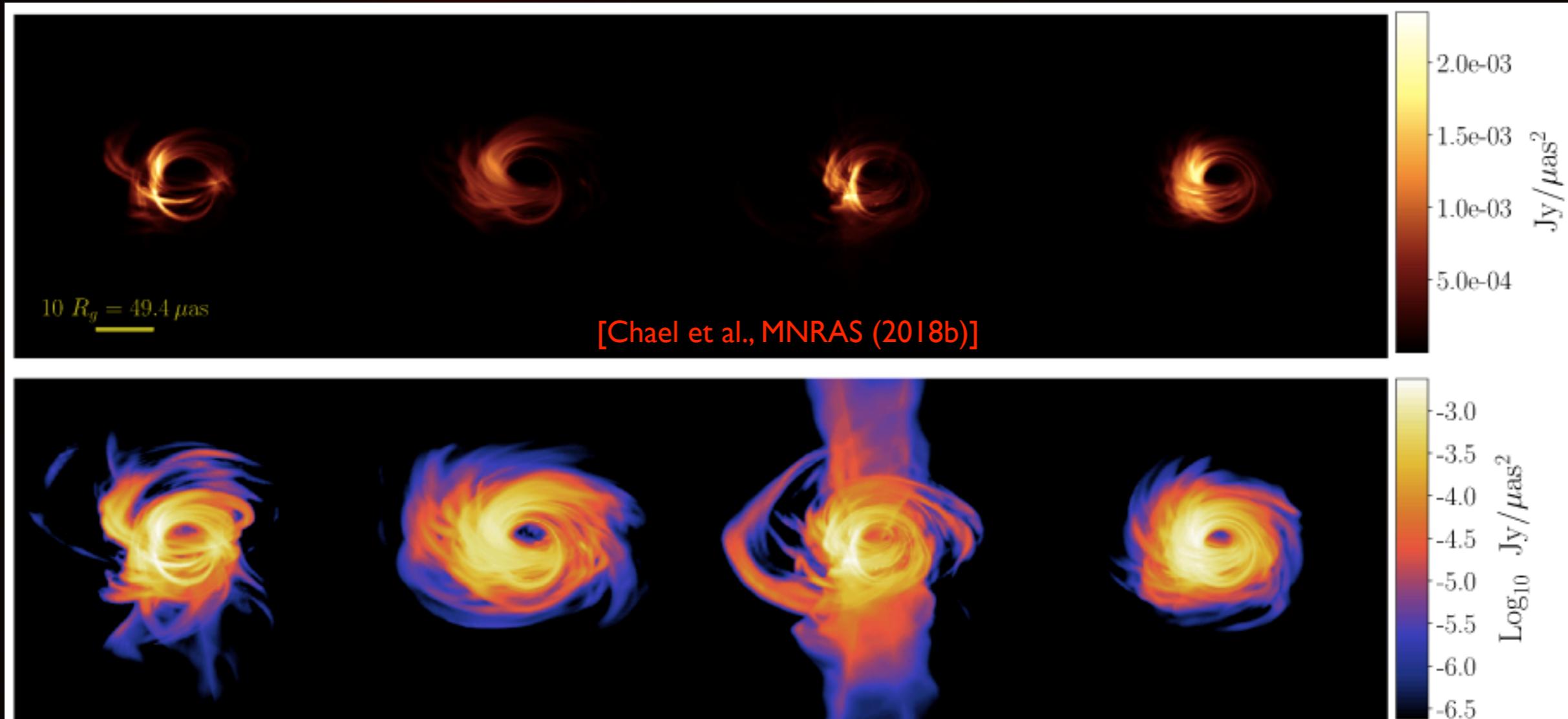
# Turbulence in “collisionless” plasmas

[credit: Event Horizon Telescope Collaboration]



# Turbulence in “collisionless” plasmas

[credit: Event Horizon Telescope Collaboration]

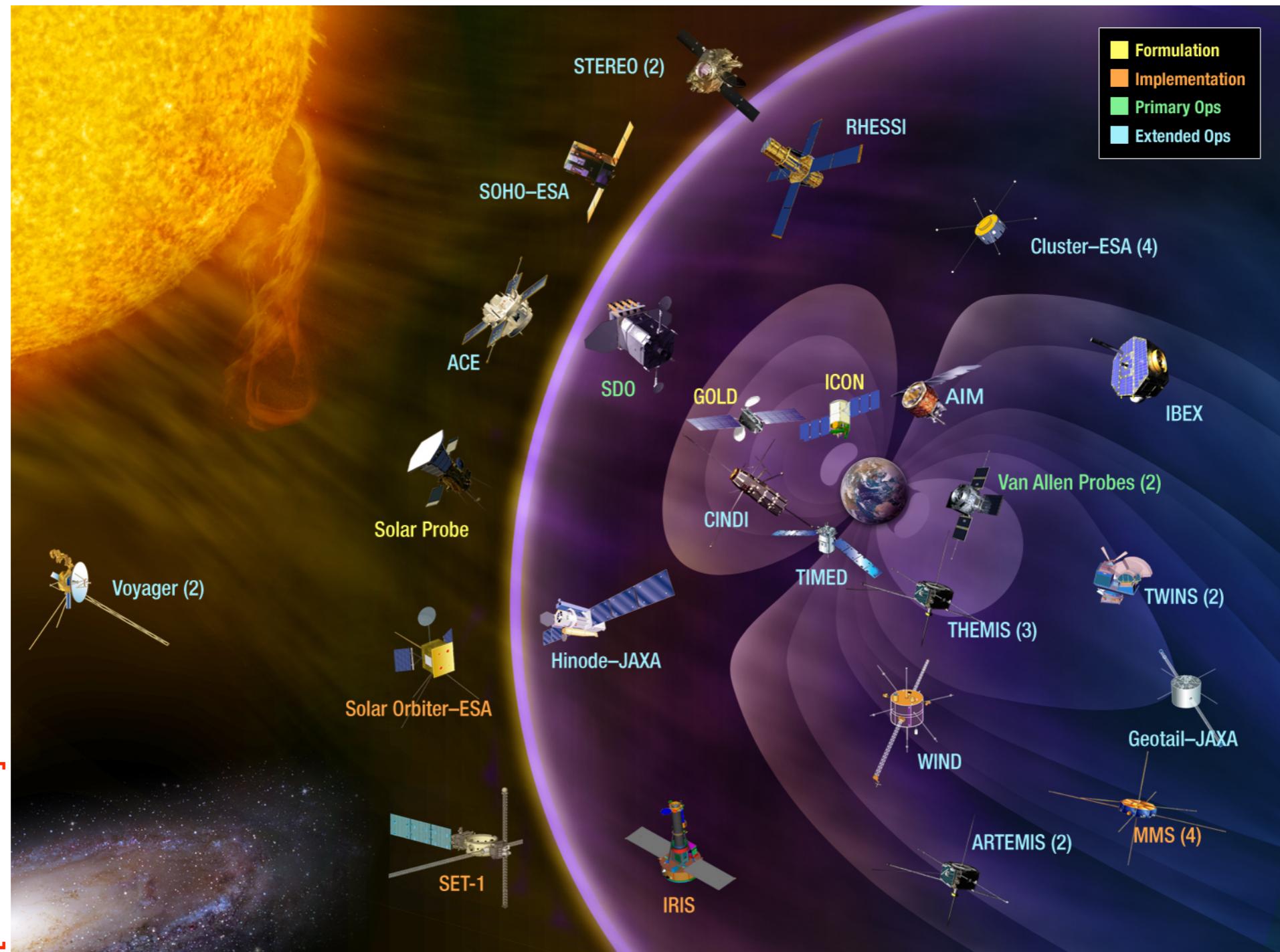


***Turbulent heating + energy partition among species***  
→ determine several emission features!

# Outline

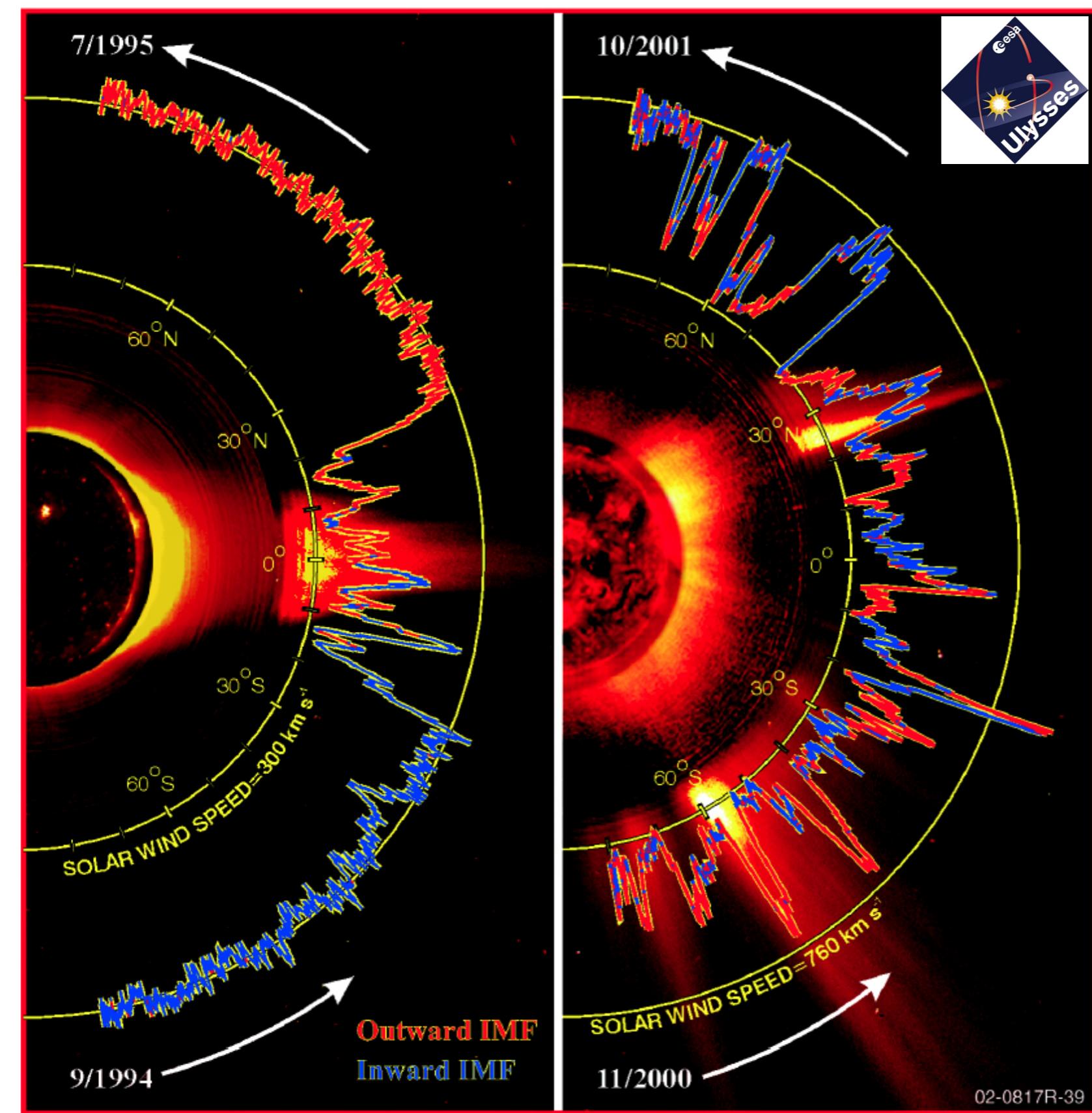
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# Near-Earth's environment & Solar Wind



*Increasingly accurate *in situ* measurements have become available*

# The Solar Wind (SW)



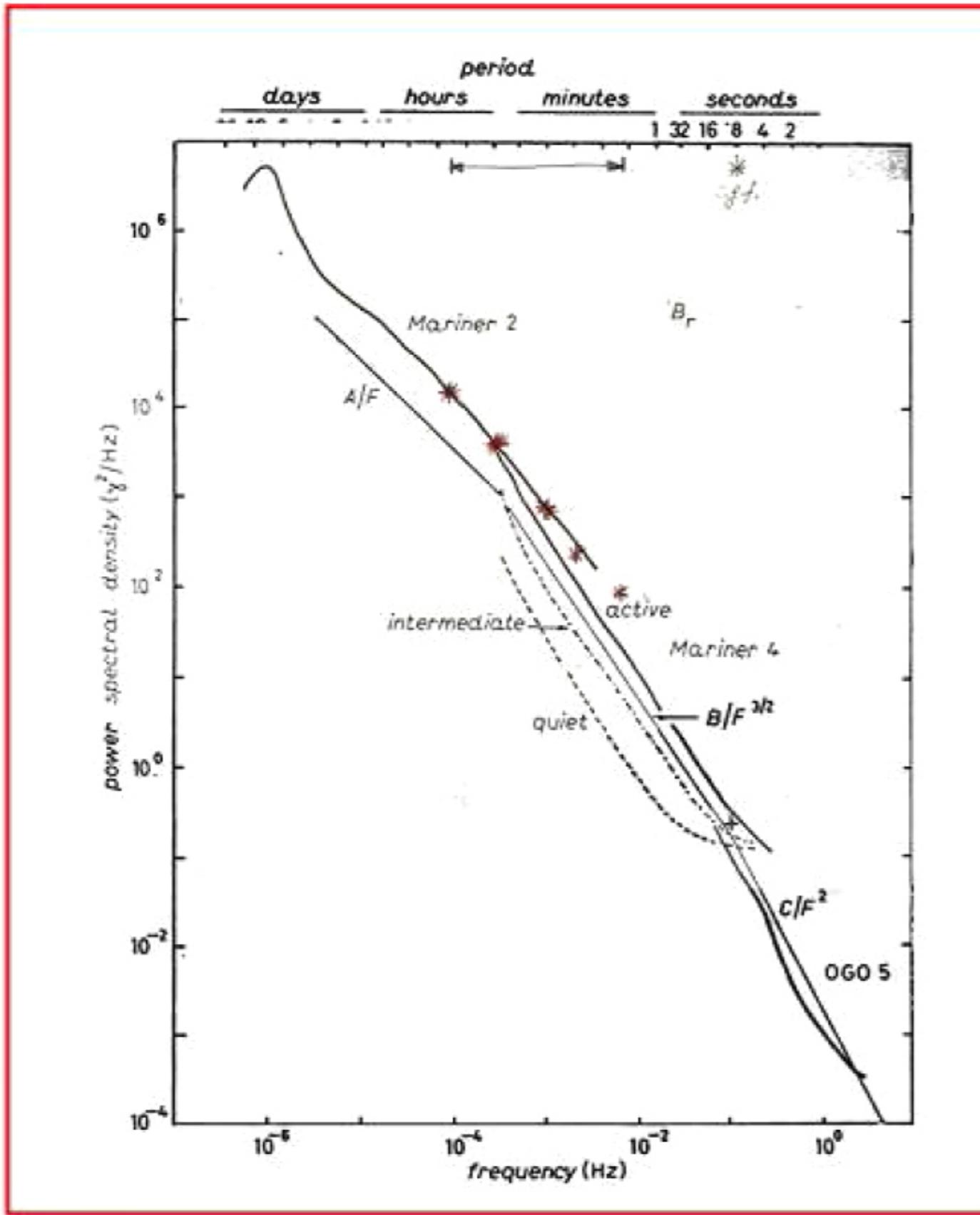
- Continuous flow of (globally neutral) charged particles from our star: Solar Wind (SW)
- SW is mostly found in a turbulent state
- Time and space variability due to solar cycles and turbulent evolution: quite large parameter space
- SW plasma is “collisionless”

*kinetic treatment  
is eventually  
necessary!*

[credit: ESA]

# Early observations of SW turbulence

[credit: Bruno & Carbone, LRSP (2005); see Russel (1972) therein]



Early observations of **magnetic fluctuations power spectrum** as function of frequency from Mariner 2, Mariner 4 and OGO 5:

- Injection range:  $\sim f^{-1}$
- “intermediate” range:  $\sim f^{-1.5}$
- “final” range:  $\sim f^{-2}$

## NOTE:

frequencies (  $f$  ) in the spacecraft frame  
can be turned into wavenumbers (  $k$  )  
via **Taylor hypothesis**:  $2\pi f \approx k \cdot V_{sw}$

# Early observations of SW turbulence

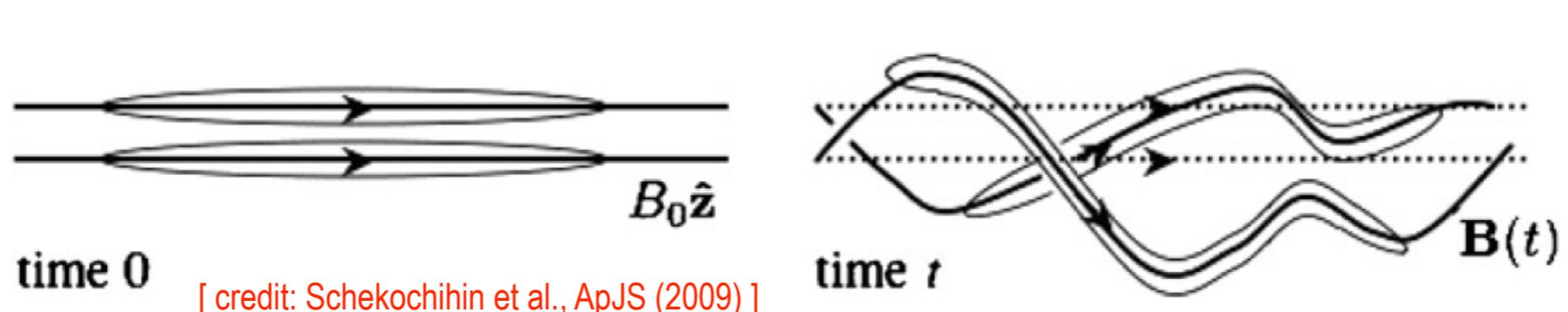
## MHD turbulence in a nutshell

[ neutral fluid case:  $\sim k^{-5/3}$  (Kolmogorov: *isotropic hydrodynamic turbulence*) ]

- $\sim k^{-3/2}$  (Iroshnikov & Kraichnan: *isotropic MHD turbulence*)
- $\sim k_{\perp}^{-5/3}$  &  $\sim k_{\parallel}^{-2}$  (parallel and perpendicular with respect to  $\mathbf{B}$ );

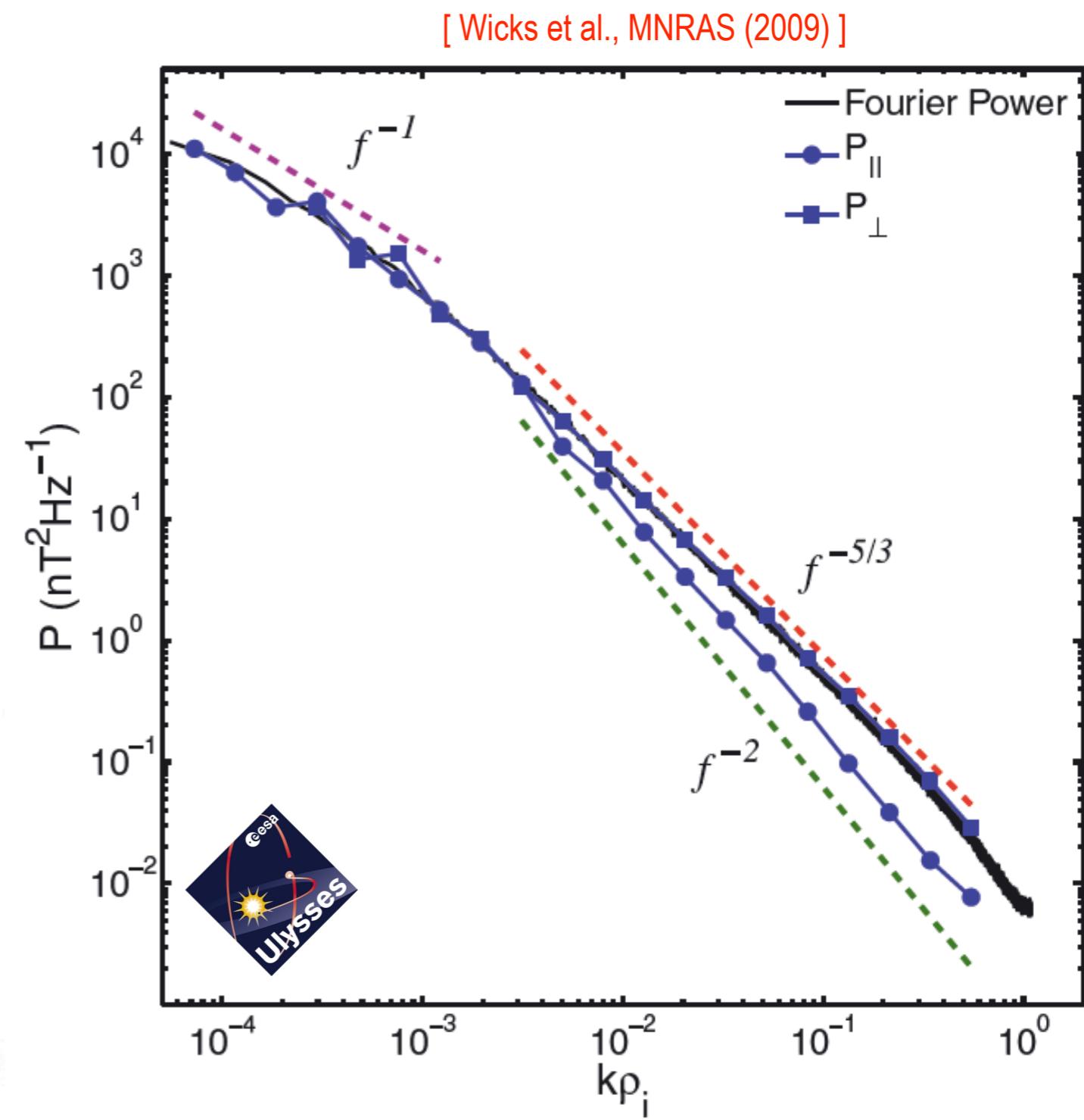
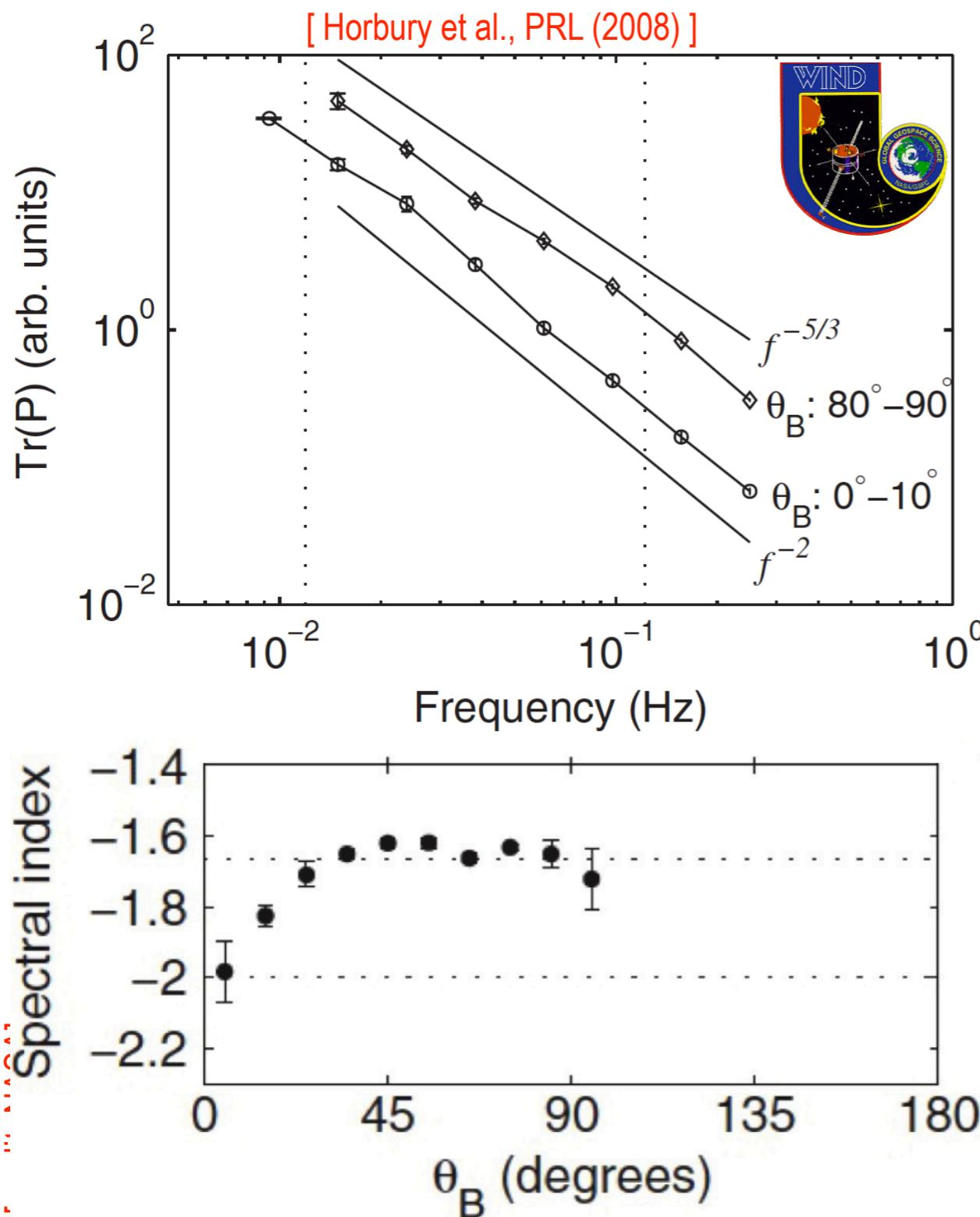
anisotropy from “**critical balance**”:  $k_{\parallel} \sim k_{\perp}^{2/3}$

(Goldreich & Sridhar: *anisotropic MHD turbulence*)



# SW turbulence in the MHD range

*Observations from WIND and Ulysses (and other spacecraft)*



# SW turbulence in the MHD range

*Observations from WIND and Ulysses (and other spacecraft)*

SW turbulence in the “inertial/MHD” range is essentially made of

***critically-balanced anisotropic cascade  
of (mostly) Alfvénic-like fluctuations***

(à la Glodreich-Sridahr)

$$k_{\parallel} \propto k_{\perp}^{2/3}$$

$$E(k_{\perp}) \propto k_{\perp}^{-5/3}, \quad E(k_{\parallel}) \propto k_{\parallel}^{-2}$$

***...what about the cascade at kinetic scales?***

Spectral index

0

45

90

135

180

225

270

315

360

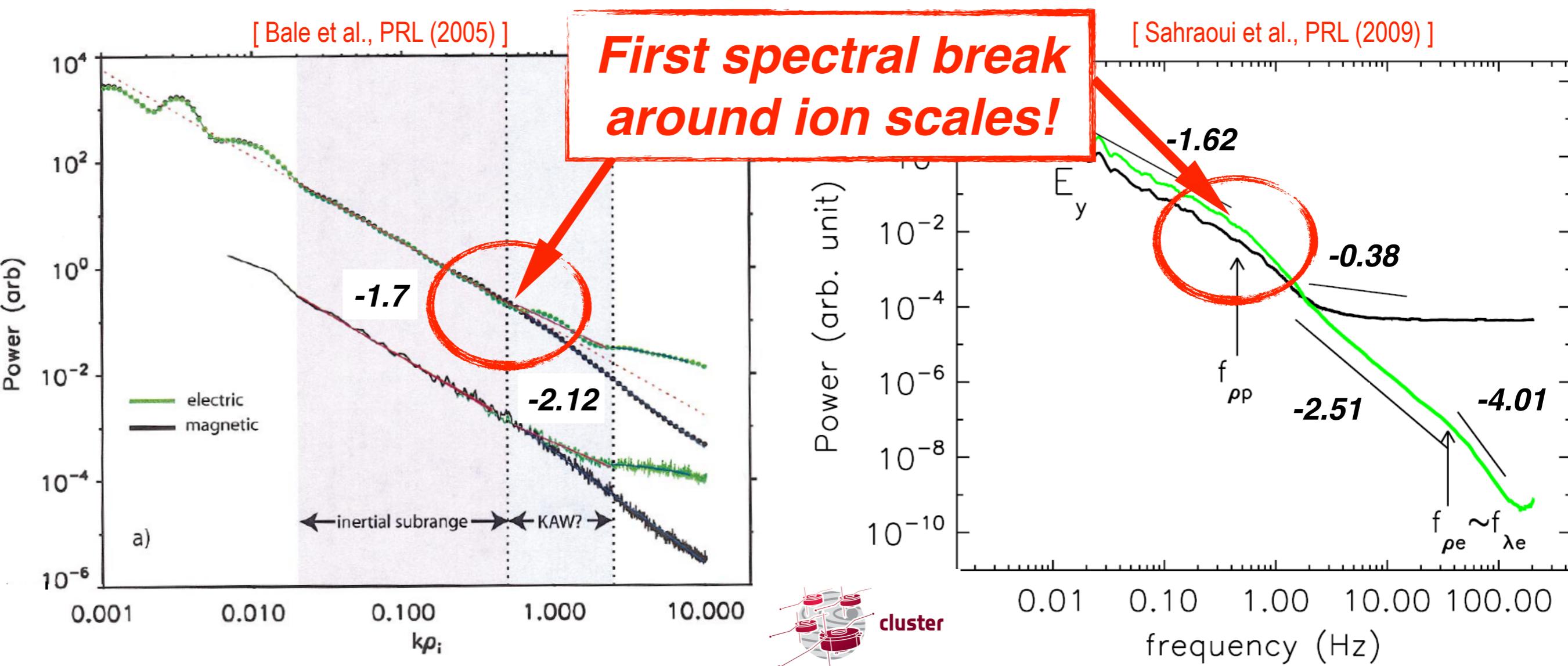
405

450

$\theta_B$  (degrees)

$k\rho_i$

# SW turbulence beyond the MHD range

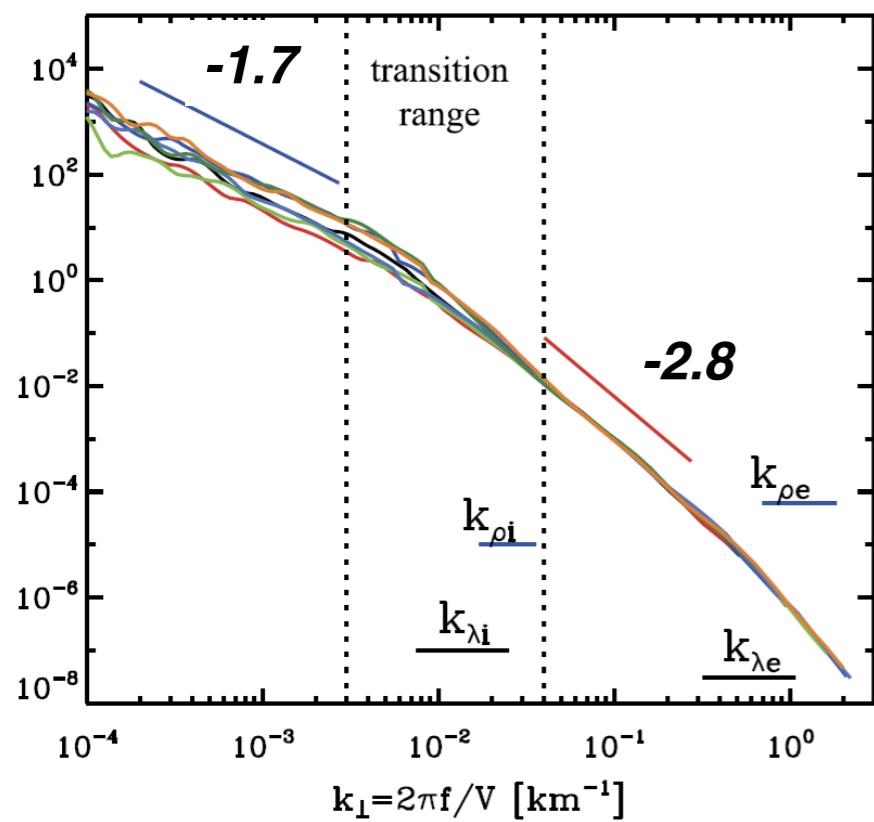


First observations of electromagnetic turbulent cascade at kinetic scales by Cluster:

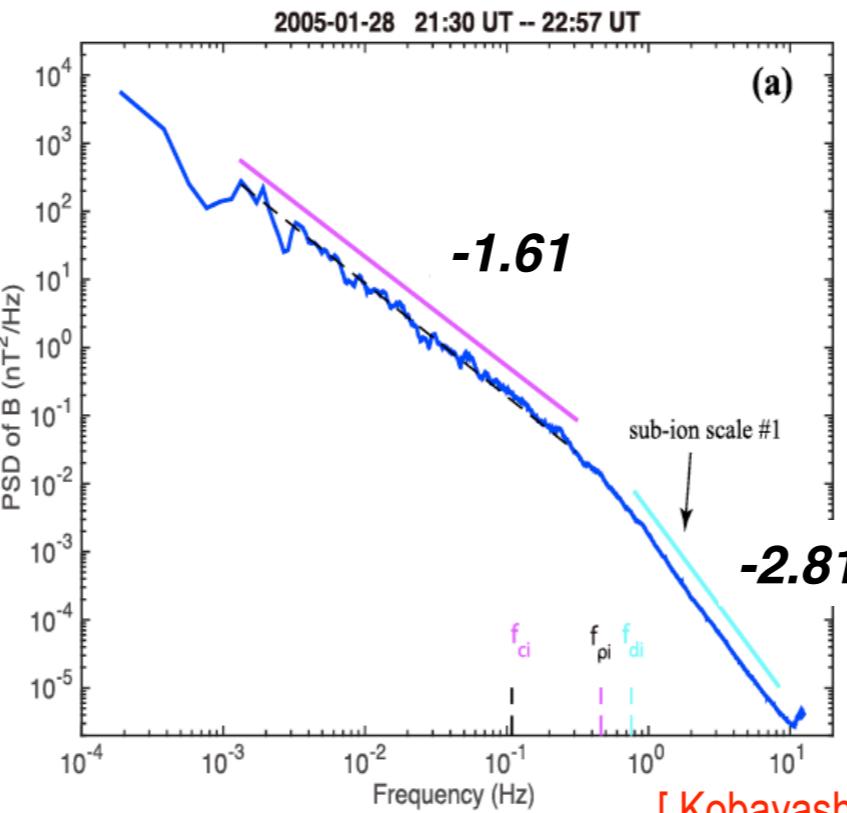
- **Spectral breaks at kinetic scales**
- **Steepening of magnetic spectrum**
- **Flattening of electric spectrum**

# Universality of kinetic-range spectrum?

## Solar Wind



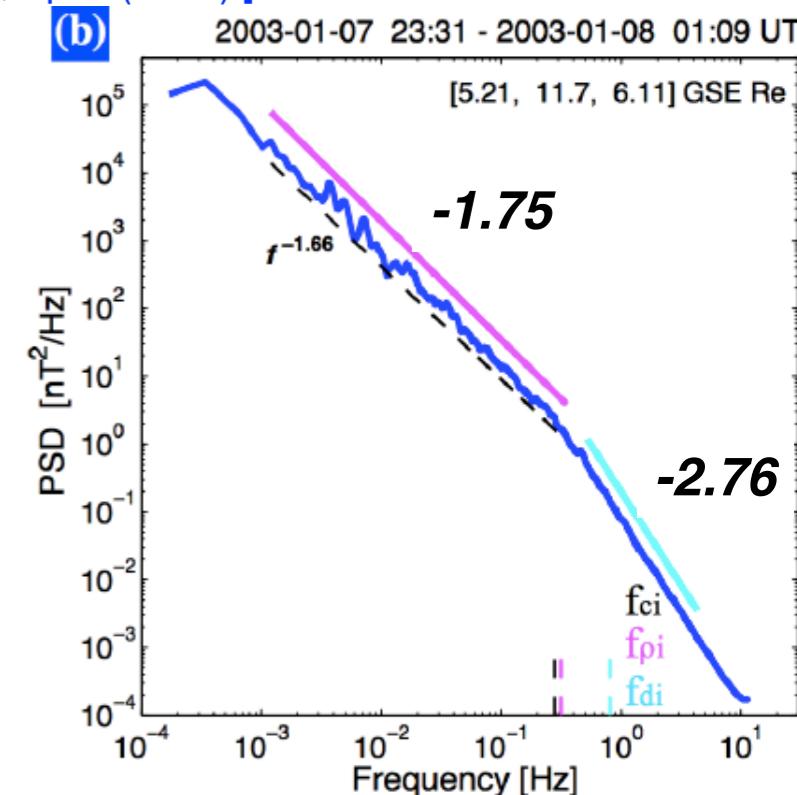
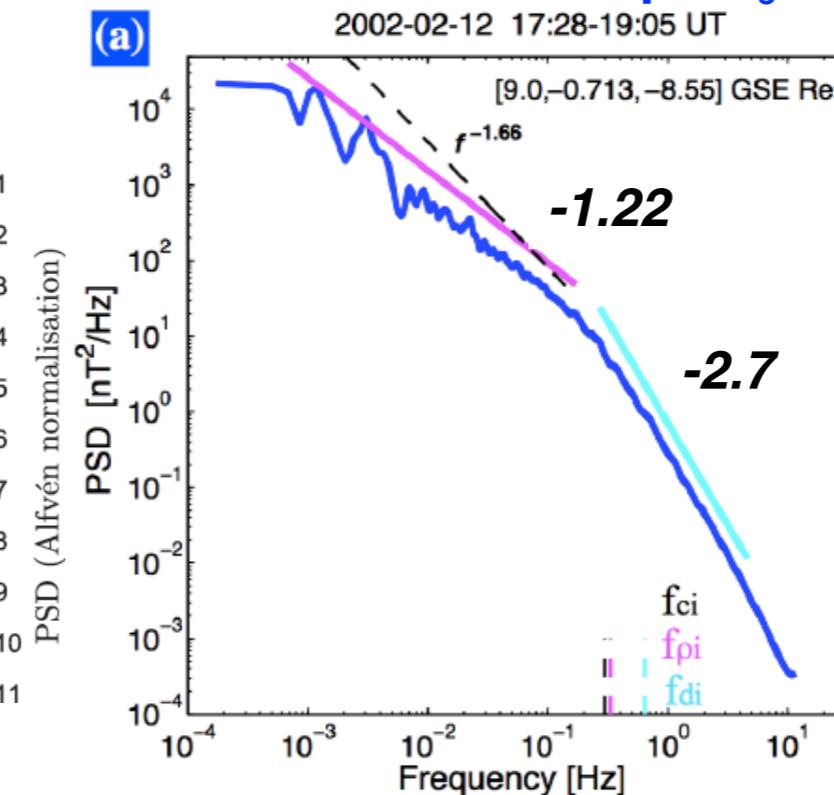
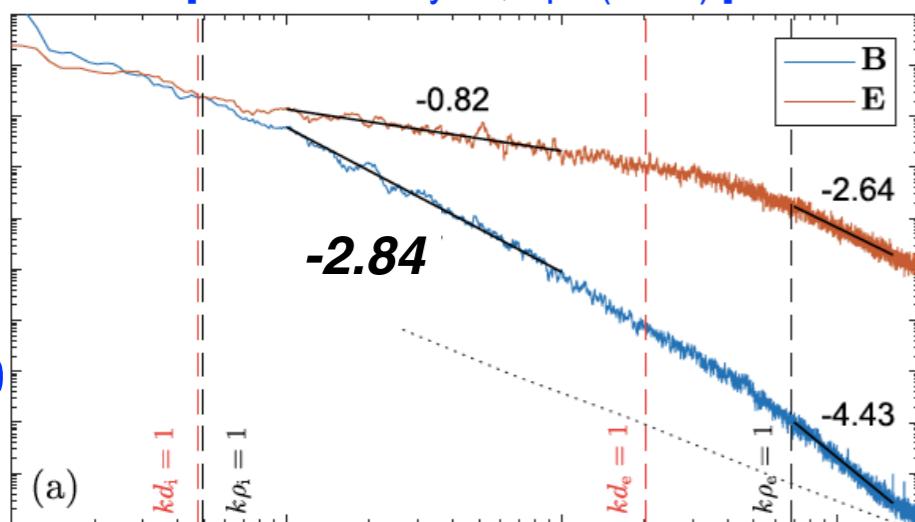
[Alexandrova et al., SSR (2013)]



[Kobayashi et al., ApJ (2017)]

[Huang et al., ApJL (2017)]

## Magnetosheath



# Kinetic-range turbulence theories

## Kinetic Alfvén wave (KAW) cascade

[see e.g. Schekochihin et al., ApJS (2009); Boldyrev et al., ApJ (2013)]

- B-spectrum  $\sim k_{\perp}^{-7/3}$
- E-spectrum  $\sim k_{\perp}^{-1/3}$
- Spectral anisotropy:  $k_{\parallel} \sim k_{\perp}^{1/3}$

## Whistler wave (WW) cascade

[see e.g. Galtier & Bhattacharjee, PoP (2003); Cho & Lazarian, ApJL (2004)]

- B-spectrum  $\sim k_{\perp}^{-7/3}$
- E-spectrum  $\sim k_{\perp}^{-1/3}$
- Spectral anisotropy:  $k_{\parallel} \sim k_{\perp}^{1/3}$

# Kinetic-range turbulence theories

## Additional possible sources of steepening

• B

- Landau damping effects (within GK theory) [Howes et al., JGR (2008)]

• E

$$\text{exponential corrections } \sim k_{\perp}^{-7/3} \exp(-F[\omega(k), \gamma(k)])$$

• S

- Compressibility effects [Alexandrova et al., ApJ (2008)]

$$B\text{-spectrum } \sim k_{\perp}^{-7/3 - 2\xi}$$

• B

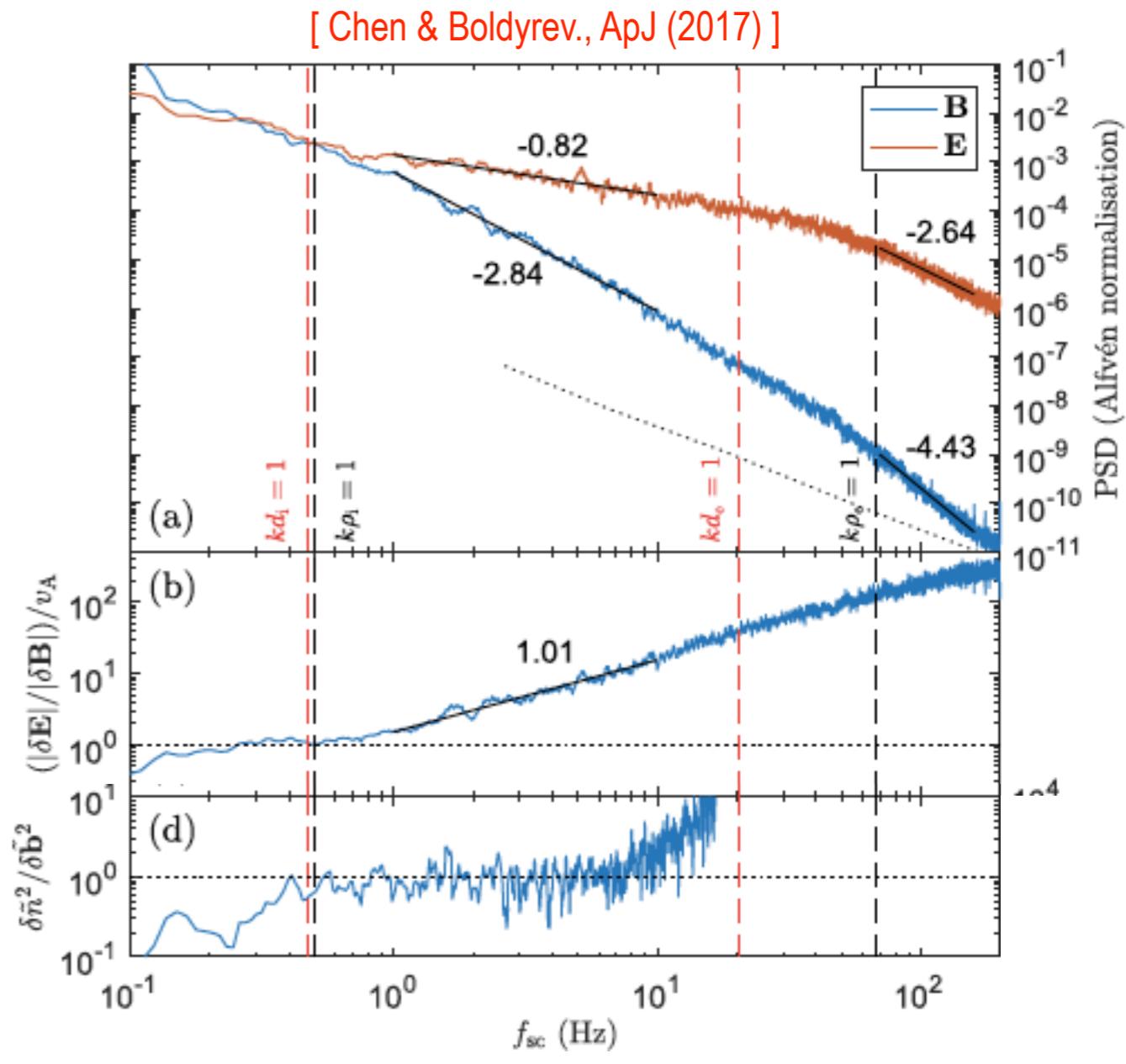
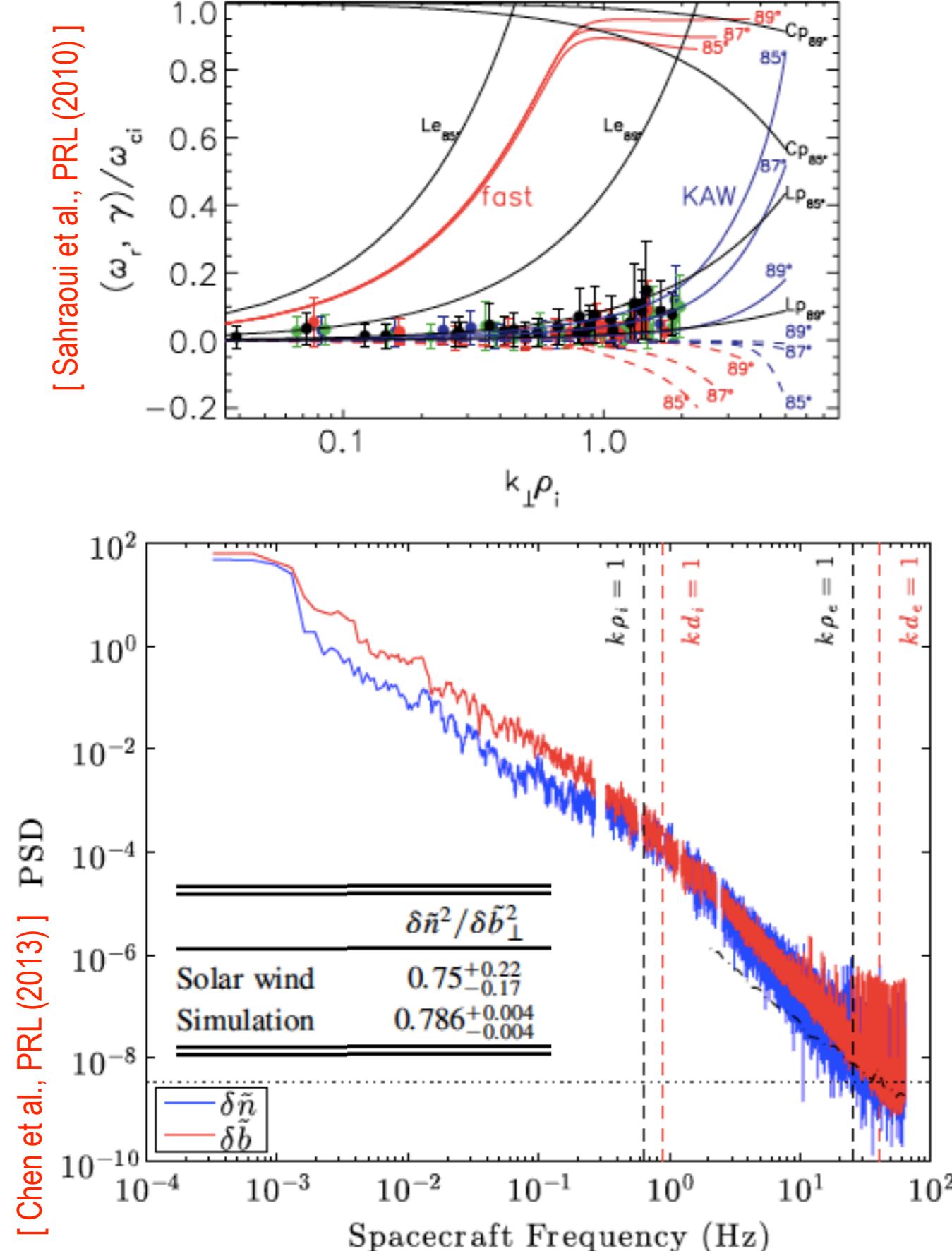
- Intermittency effects [Boldyrev & Perez, ApJ (2012)]

$$B\text{-spectrum } \sim k_{\perp}^{-8/3}; E\text{-spectrum } \sim k_{\perp}^{-2/3}; \text{ anisotropy } k_{||} \sim k_{\perp}^{2/3}$$

• E

- Nonlinearity parameter saturation (“weak vs strong..er”) [Passot & Sulem, ApJL (2015)]

# More kinetic-range turbulence observations



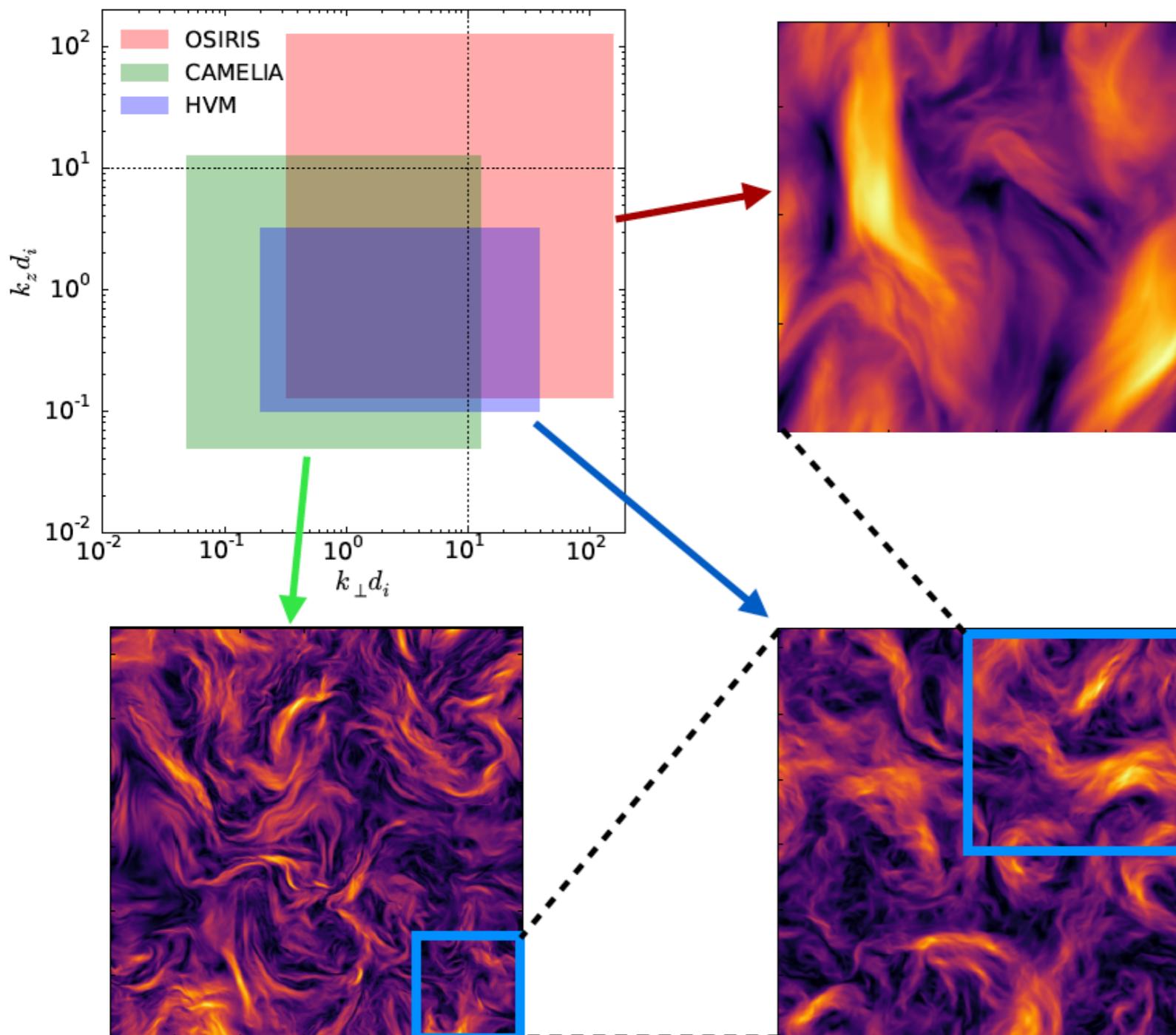
$$\delta\tilde{b} = \frac{\delta B}{B_0} \quad \delta\tilde{n} = \sqrt{\frac{\beta_i}{2} \left(1 + \frac{T_e}{T_i}\right)} \left[1 + \frac{\beta_i}{2} \left(1 + \frac{T_e}{T_i}\right)\right] \frac{\delta n}{n_0}$$

observations are overall consistent  
with **kinetic-Alfvén-wave (KAW) turbulence**

# Spectral anisotropy: a solution, after all?

## *Understanding sub-ion-range turbulence: a multi-model, collaborative effort*

[Cerri, Groselj & Franci, FSPAS (2019)]

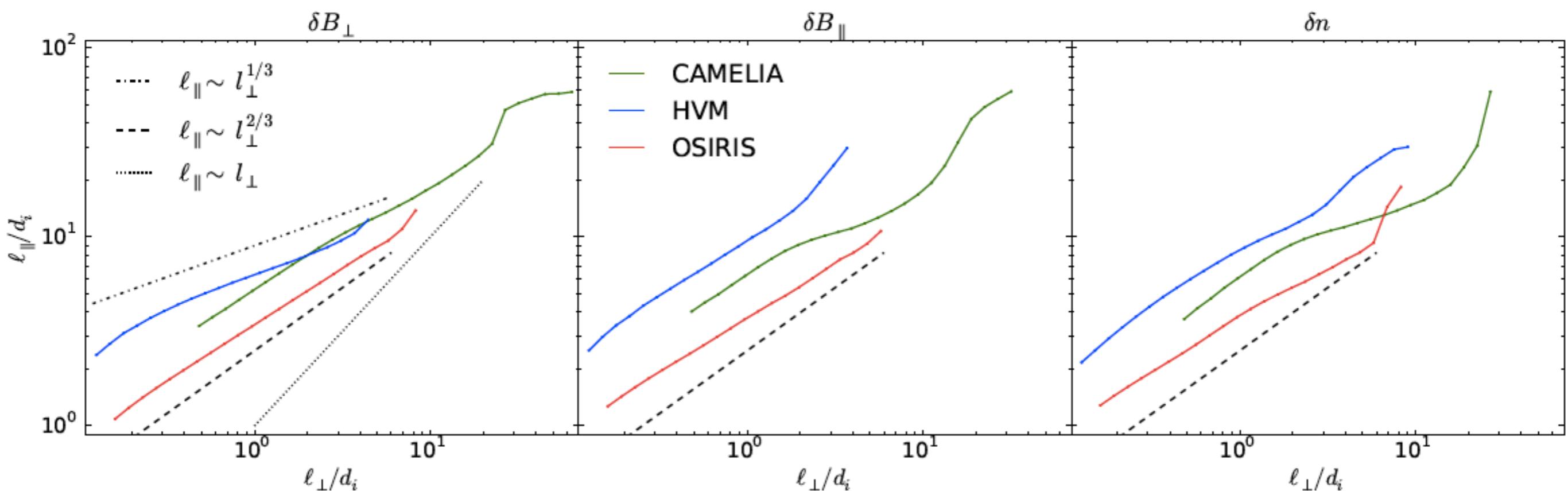


- ☞ **Different injection properties at “MHD scales”:**
  - freely decaying Alfvénic fluctuations
  - freely decaying compressive B fluctuations
  - continuous Alfvénic injection (“driven”)
  
- ☞ **Different models and numerical methods employed:**
  - hybrid-PIC w/o electron inertia
  - hybrid-Vlasov w/ electron inertia
  - full PIC

# Spectral anisotropy: a solution, after all?

**With high-angular-resolution, multi-point structure functions every simulation eventually agrees on the spectral anisotropy of (almost) each quantity!**

[Cerri, Groselj & Franci, FSPAS (2019)]



**sub-ion-scale anisotropy:  $\ell_{\parallel} \sim l_{\perp}^{2/3}$**

(polarisation properties of sub-ion-scale fluctuations are also consistent with kinetic-Alfvén-wave turbulence — not shown here)

# Spectral anisotropy: a solution, after all?

Observations & simulations of turbulent fluctuations in the “sub-ion range” are overall consistent with

**“intermittency corrected”  
kinetic-Alfvén-wave (KAW) turbulence**

BUT this is not the end of the story:

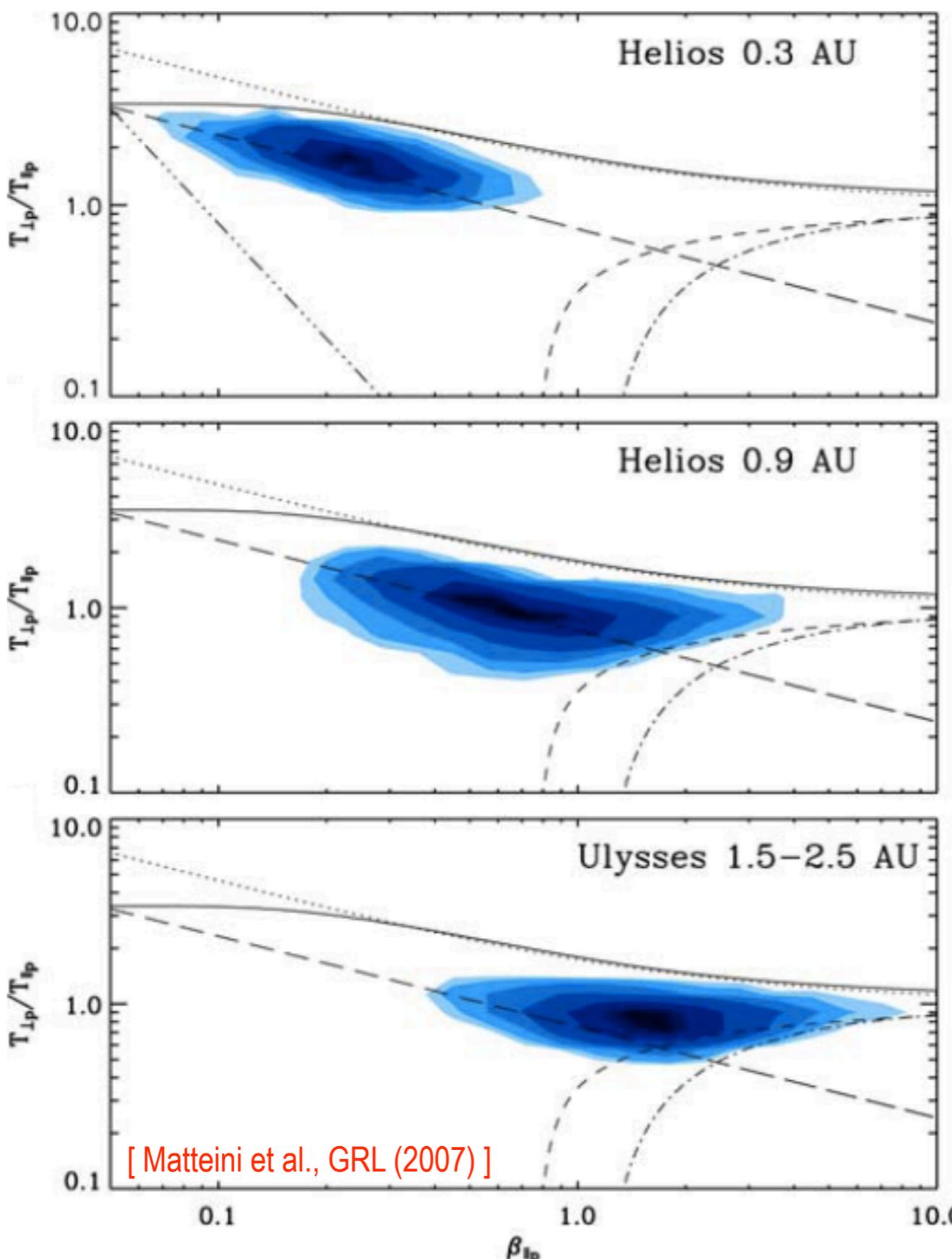
Reconnection & turbulence as tightly entwined processes  
→ **idea of “reconnection-mediated turbulence” for sub-ion range**

see, e.g.,

- Cerri & Califano, NJP (2017) [simulations]
- Franci, Cerri, et al., ApJL (2017) [simulations]
- Loureiro & Boldyrev, ApJ (2017) [theory]
- Mallet, Schekochihin & Chandran, JPP (2017) [theory]
- Vech et al., ApJL (2018) [observations]

**...what about turbulent heating?**

# Temperature evolution in turbulent SW



- ▶ Proton temperature parallel and perpendicular to the local magnetic field **does not follow an adiabatic evolution**
- ▶ Other processes must dominate the thermal evolution of the turbulent SW plasma (**“turbulent heating”**)
- ▶ Turbulent heating seems to **preferentially heat the protons in the direction perpendicular to  $B$**

***How turbulent energy finds its path to dissipation in a “collisionless” plasma?***

# Turbulent cascades in velocity space

A “collisionless” plasma enjoys the whole phase-space playground!

## LINEAR PHASE MIXING

due to ballistic response of  $f$ :

$$\delta f \sim \exp(-ik_{||}v_{||}t)$$

slower than its nonlinear counterpart  
(at scales below the ion gyro-radius)  
→ presumably mainly along  $B_0$  (“parallel”)

and more important at “*large*” perpendicular scales:

$$k_{\perp}\rho_i \lesssim 1$$

[ Schekochihin et al., ApJS (2009) ]

## NON-LINEAR PHASE MIXING

de-correlation of  $v_{\perp}$ -structures of  $f$   
due to de-correlated  $k_{\perp}$ -fluctuations:

$$\frac{\delta v_{\perp}}{v_{\text{thi}}} \sim \frac{1}{\rho_i} \left| \frac{v_{\perp}}{\Omega_i} - \frac{v'_{\perp}}{\Omega_i} \right| \sim \frac{1}{k_{\perp}\rho_i} \ll 1$$

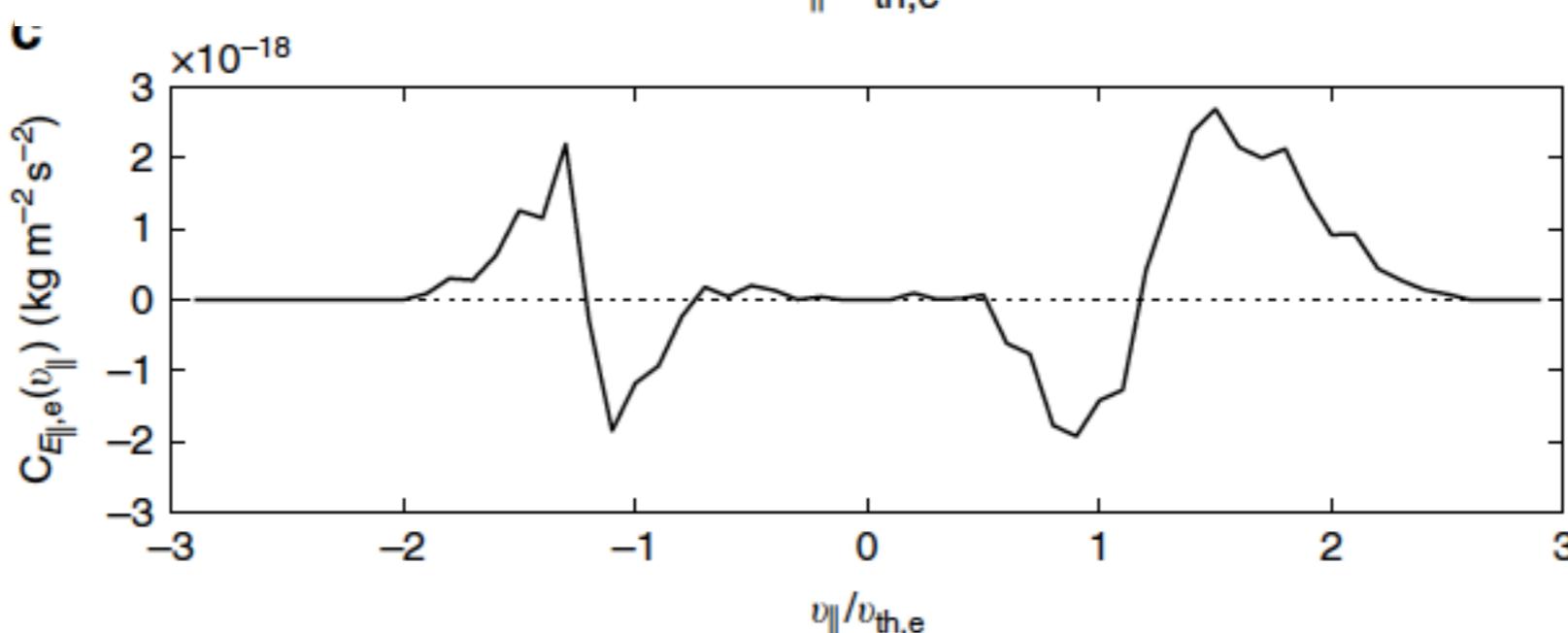
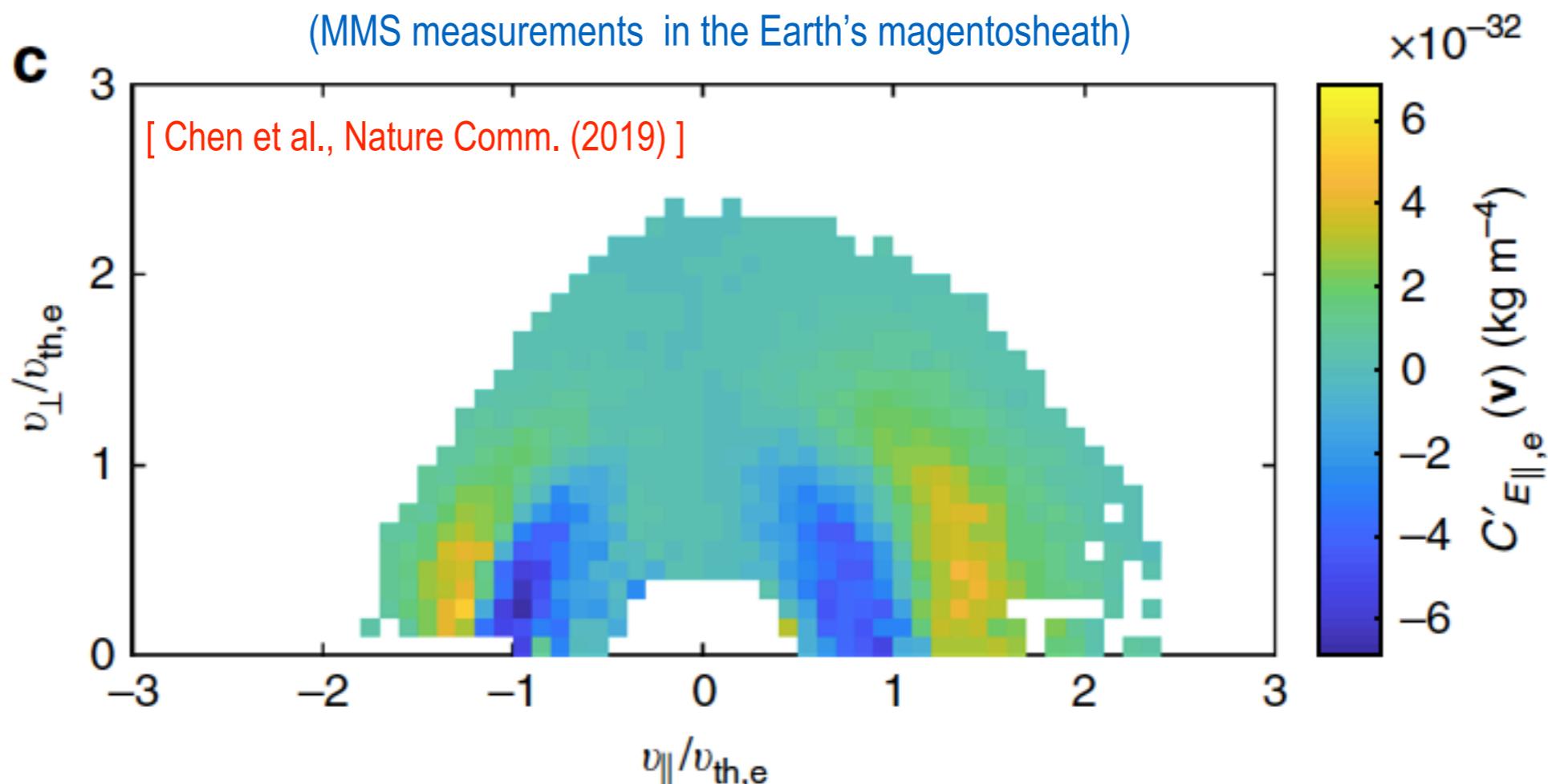
(typically) faster than its linear counterpart, when active:  
→ occurring only perpendicular to  $B_0$   
and only below the ion gyro-radius:

$$k_{\perp}\rho_i \gg 1$$

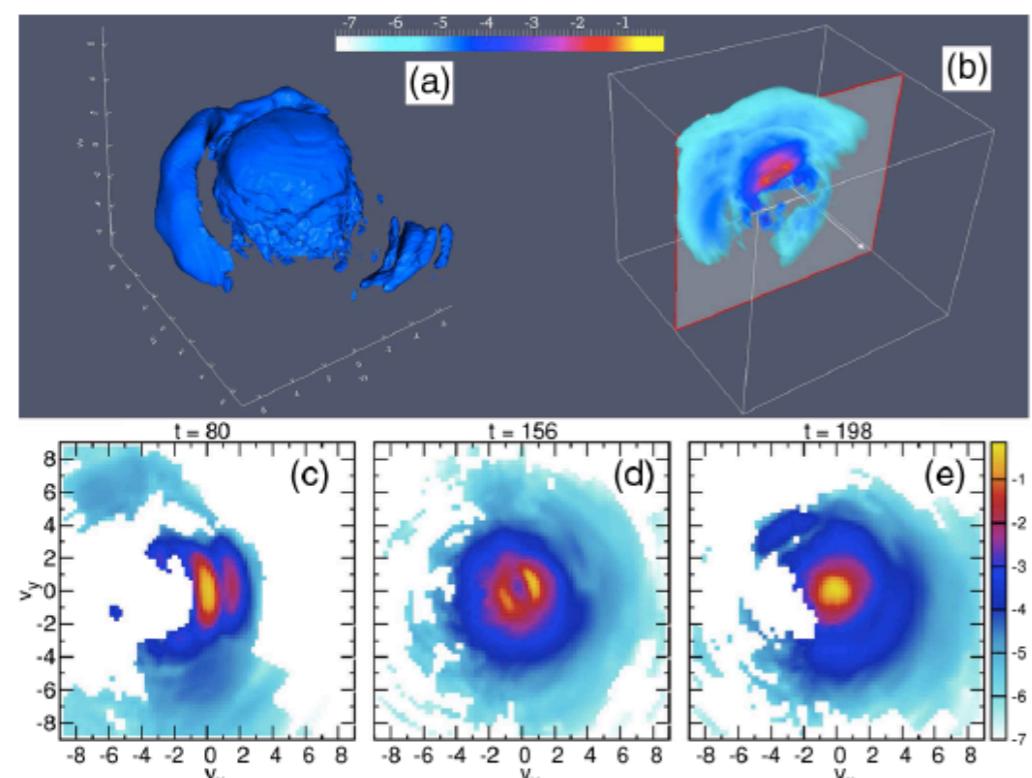


# Landau damping of turbulent fluctuations

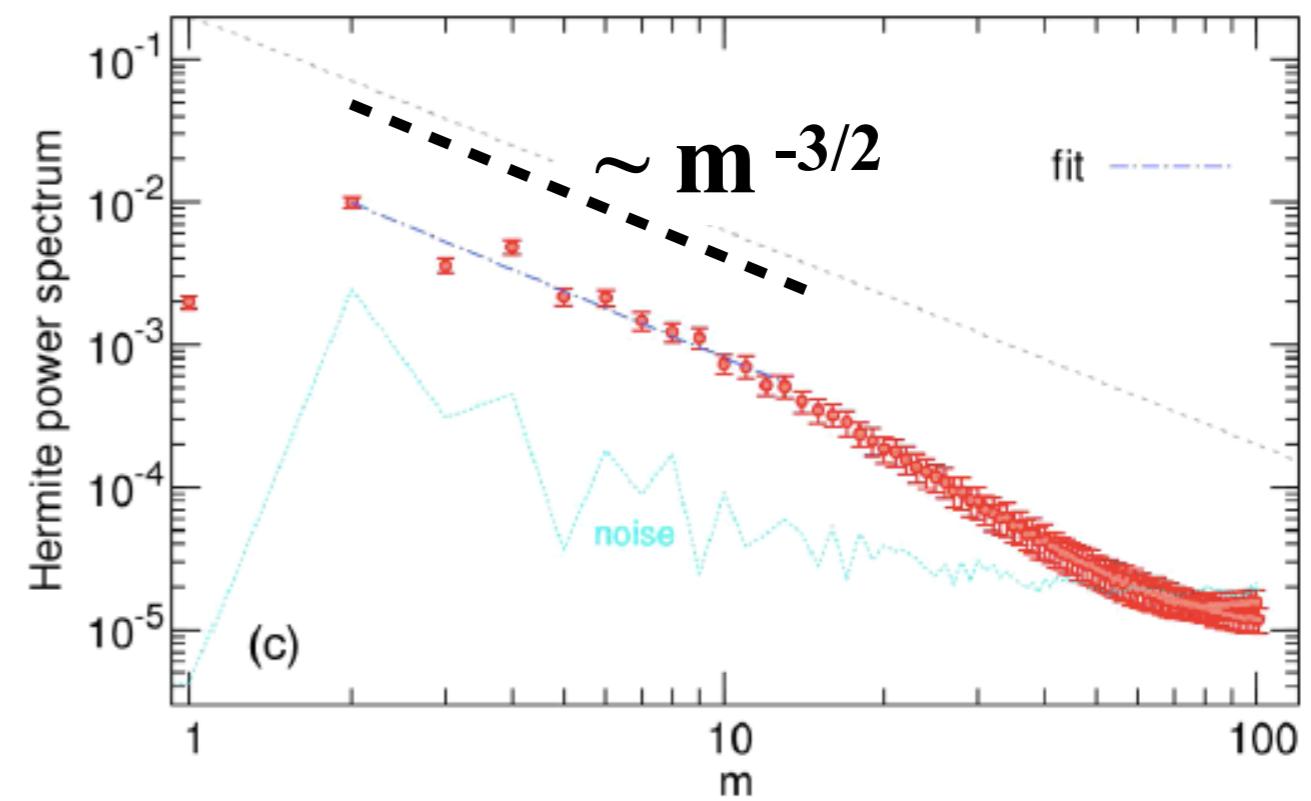
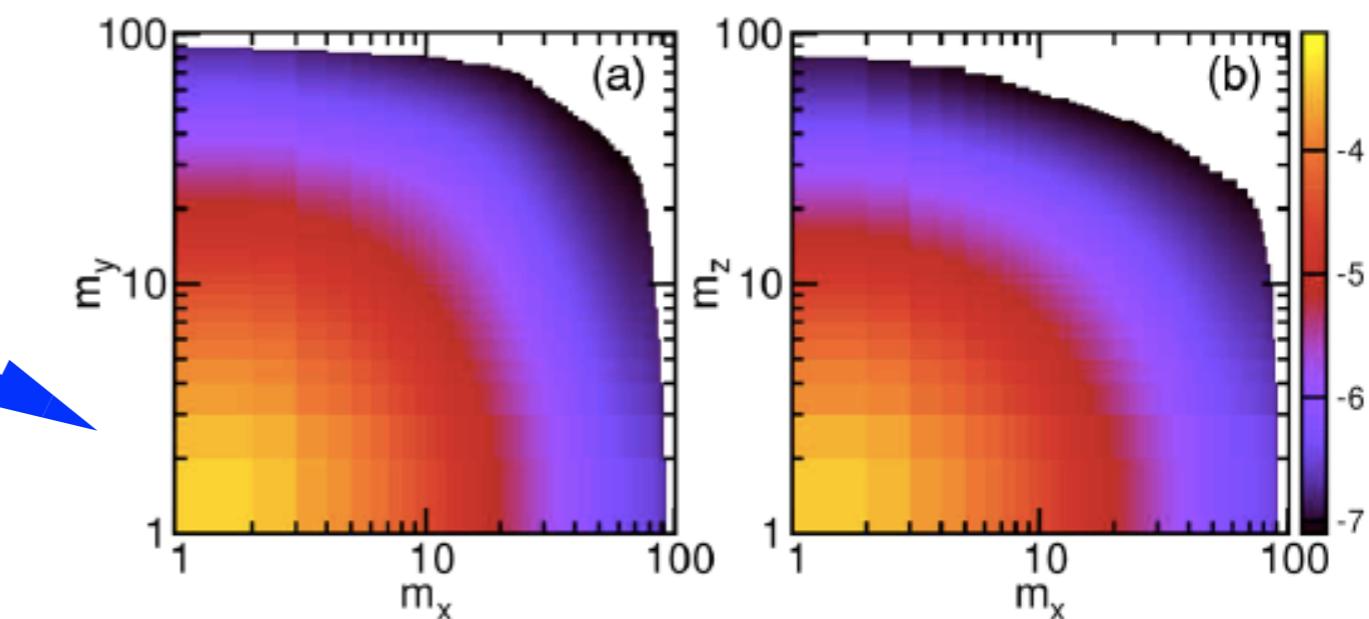
First evidence of Landau damping from in-situ data



# MMS observation of v-space cascades



[ Servidio et al., PRL (2017) ]

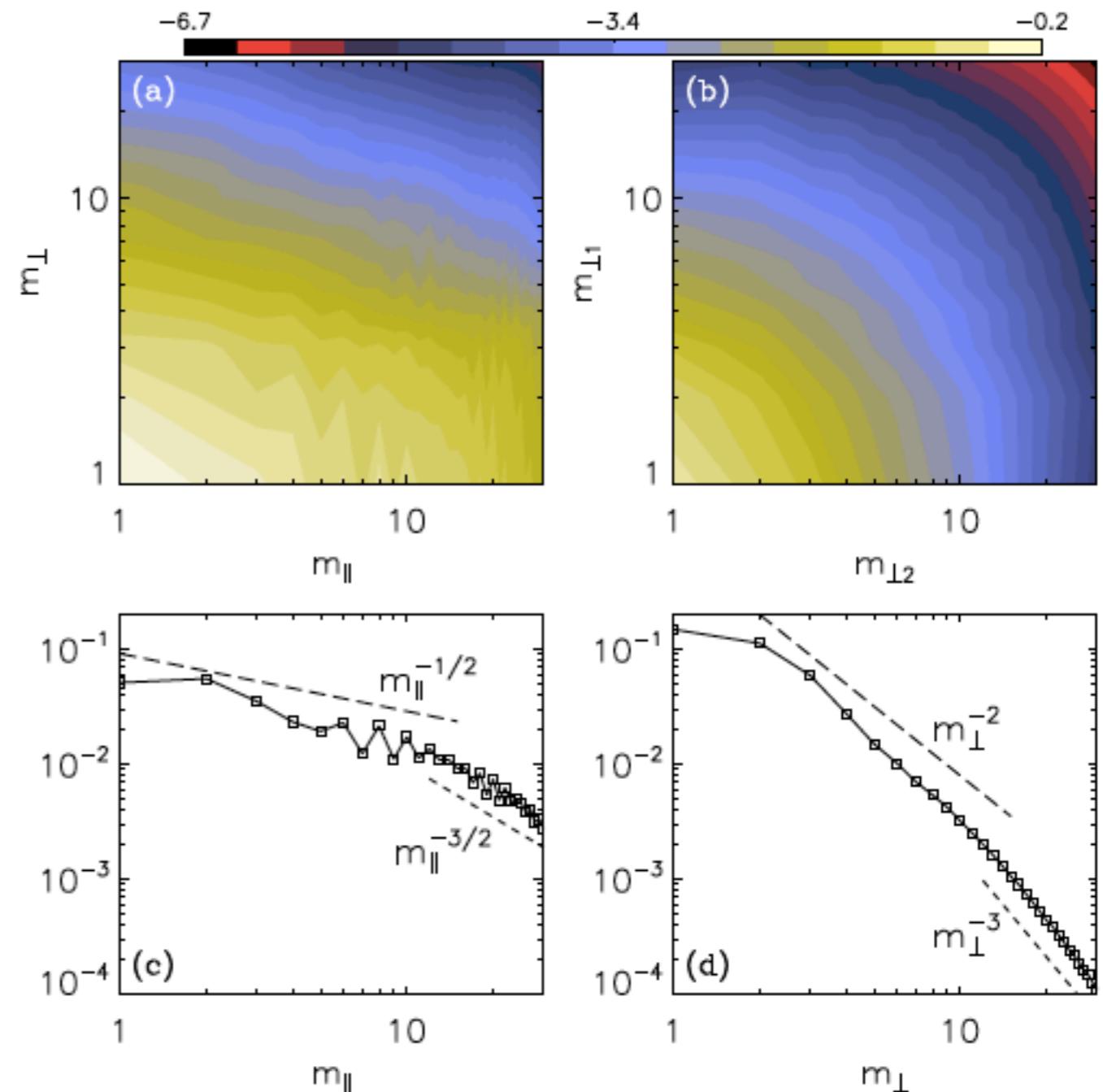
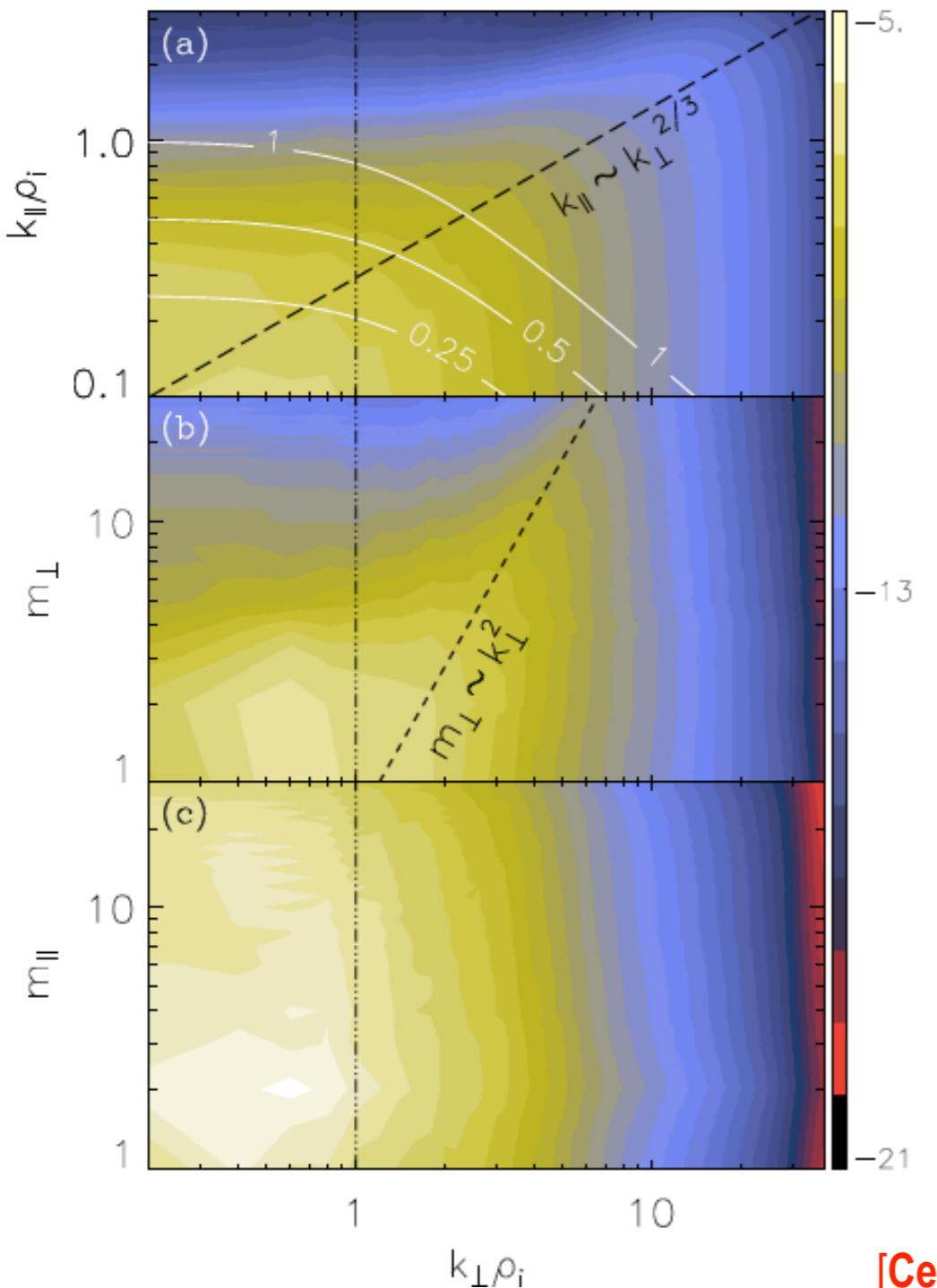


**First evidence of a cascade in velocity space from in-situ data!**

(measured by MMS in the Earth's magnetosheath)

# Multiple players are allowed!

*Anisotropic cascade of ion free-energy fluctuations in 6D phase space*



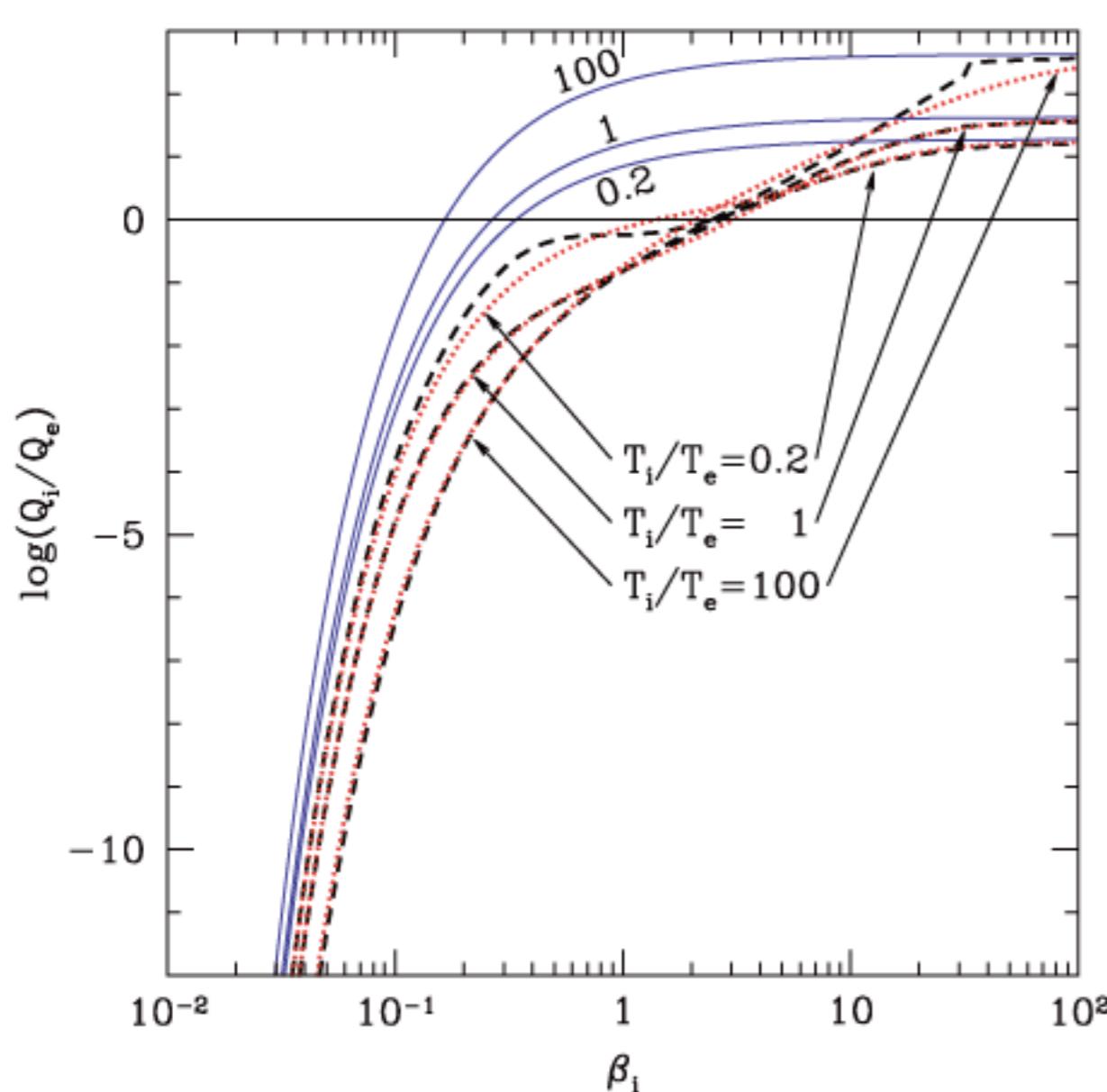
[Cerri, Kunz & Califano, ApJL (2018)]

→ different mechanisms can be simultaneously at play

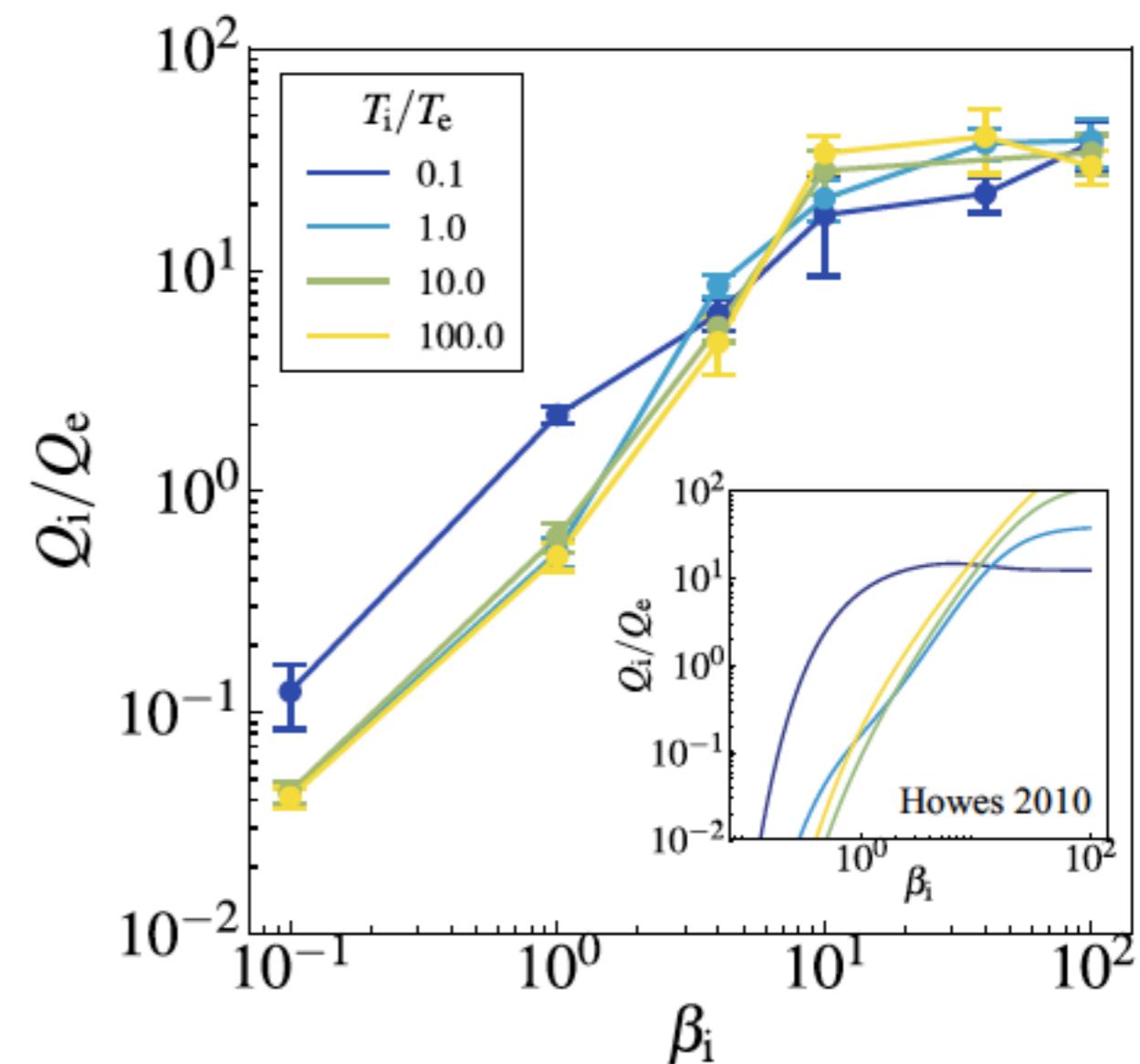
# Landau damping of turbulent fluctuations

*Turbulent heating from gyro-kinetic (GK) damping rates*

$$Q_s(k_\perp) = 2C_1^{3/2} C_2(\bar{\gamma}_s/\bar{\omega}) \epsilon(k_\perp)/k_\perp$$



[ Howes, MNRAS (2010) ]

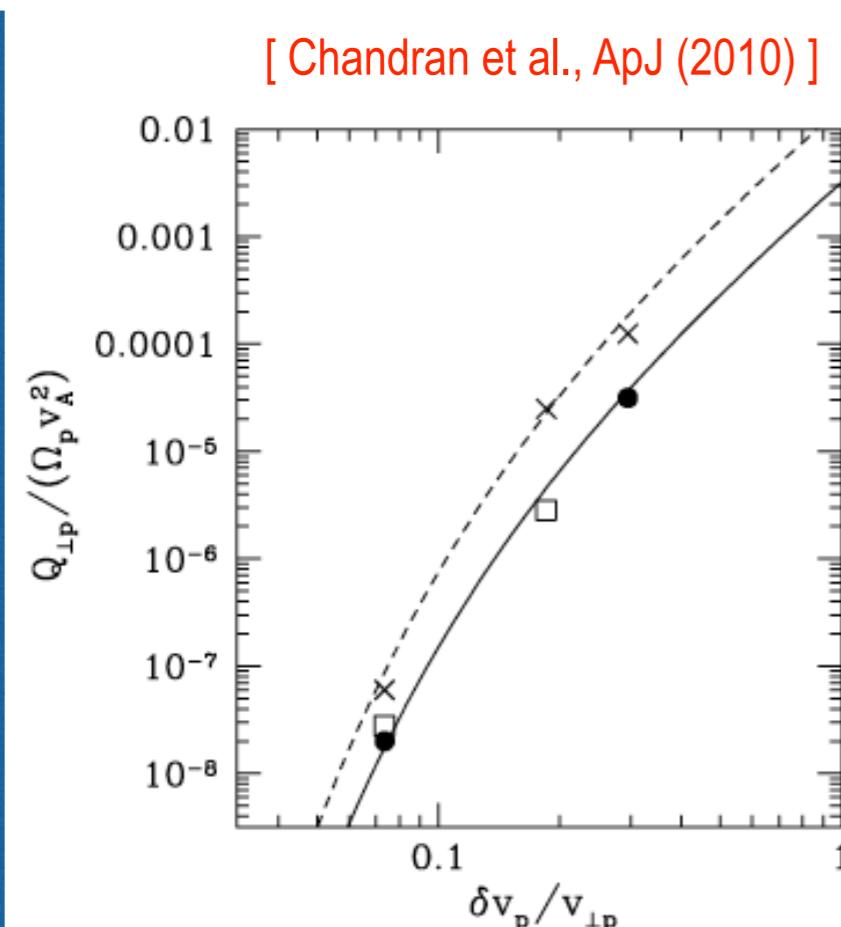


[Kawazura et al., PNAS (2019)]

# Finite-amplitude fluctuations at ion gyroscale

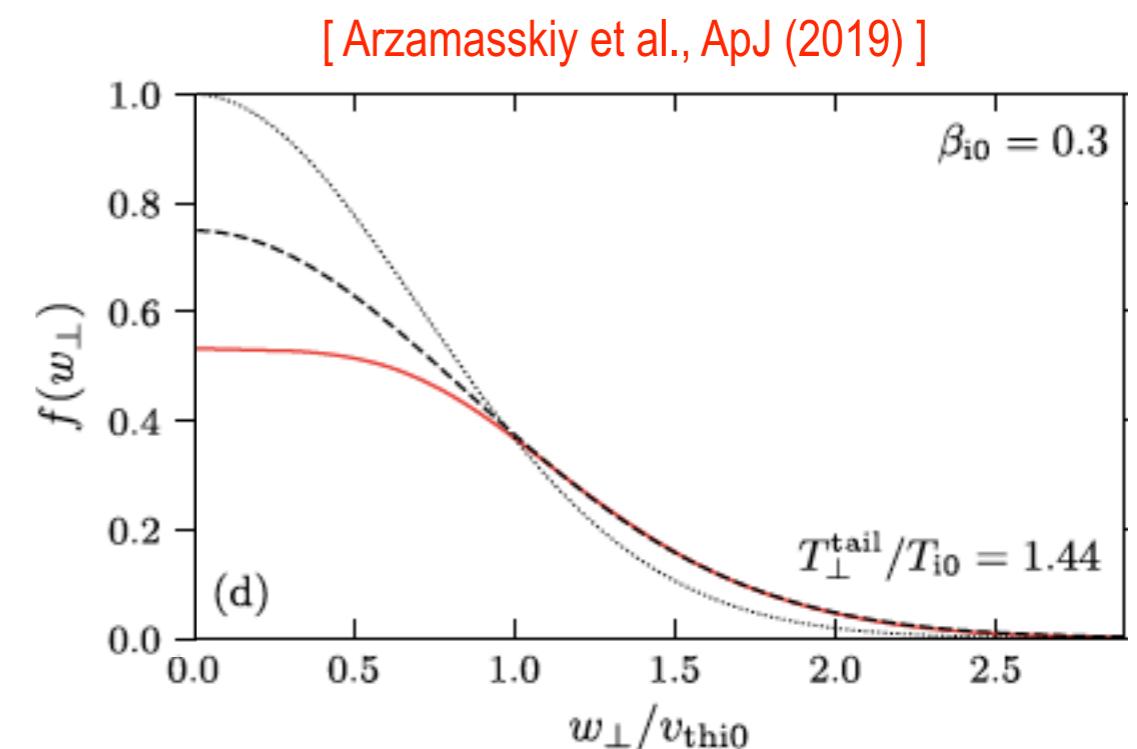
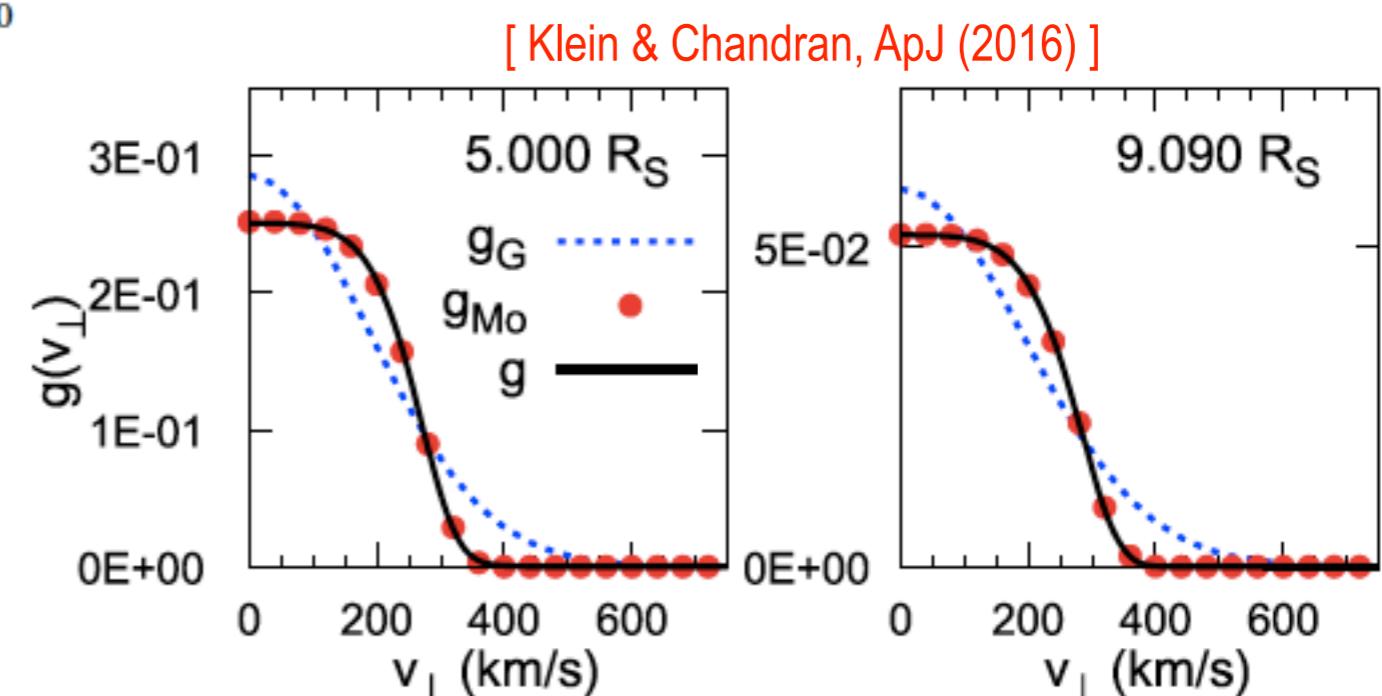
## Stochastic heating in low-frequency turbulent fluctuations

$$Q_{\perp} = \frac{c_1(\delta v_i)^3}{\rho_i} \exp\left(-\frac{c_2}{\varepsilon_i}\right) \quad \varepsilon_i = \frac{\delta v_i}{v_{\perp i}} \simeq \beta^{-1/2} \delta B_p / B_0$$



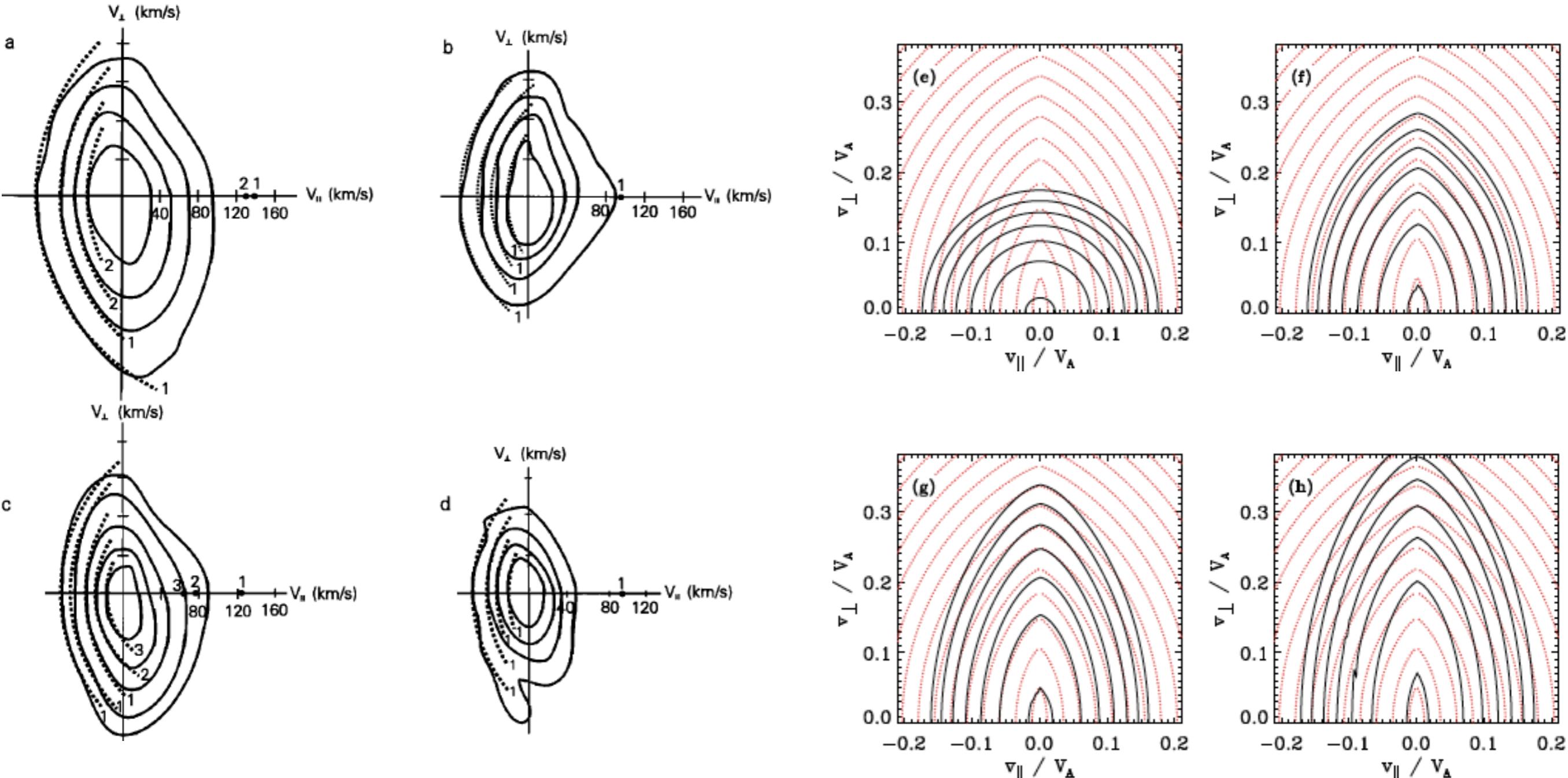
$$\Gamma = C_K^{-3/2} \left( \frac{\delta v_p}{\rho_p} \right) \left( \frac{\delta B_p}{B_0} \right)^2 v_A^2$$

$$\frac{Q_{\perp p}}{\Gamma} = 3.0 \exp\left(-\frac{0.34}{\varepsilon_p}\right)$$



# “high-frequency” fluctuations

## *Ion-cyclotron heating*



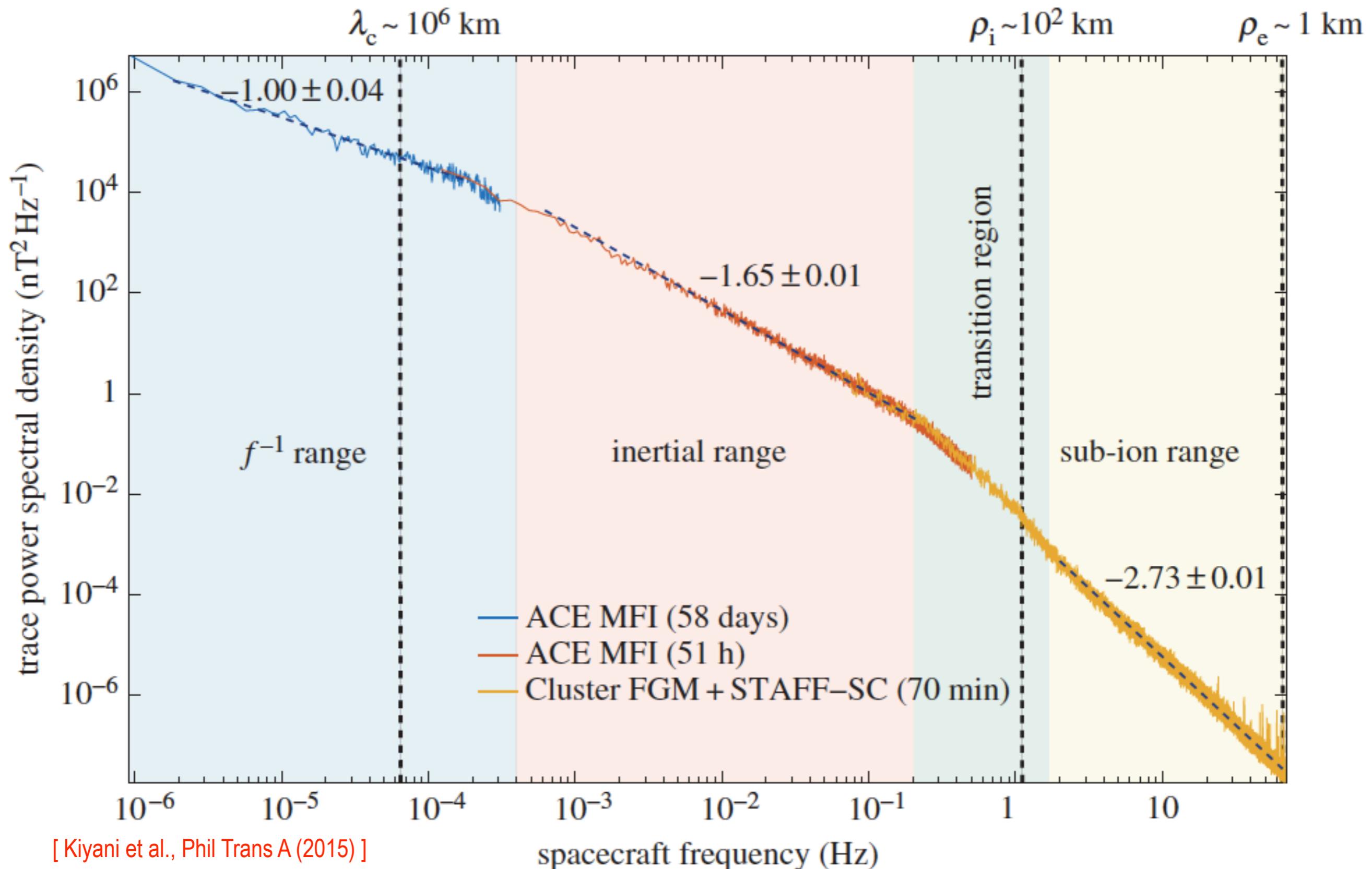
[ Marsch & Tu, JGR (2001) ]

[Cranmer, ApJS (2014)]

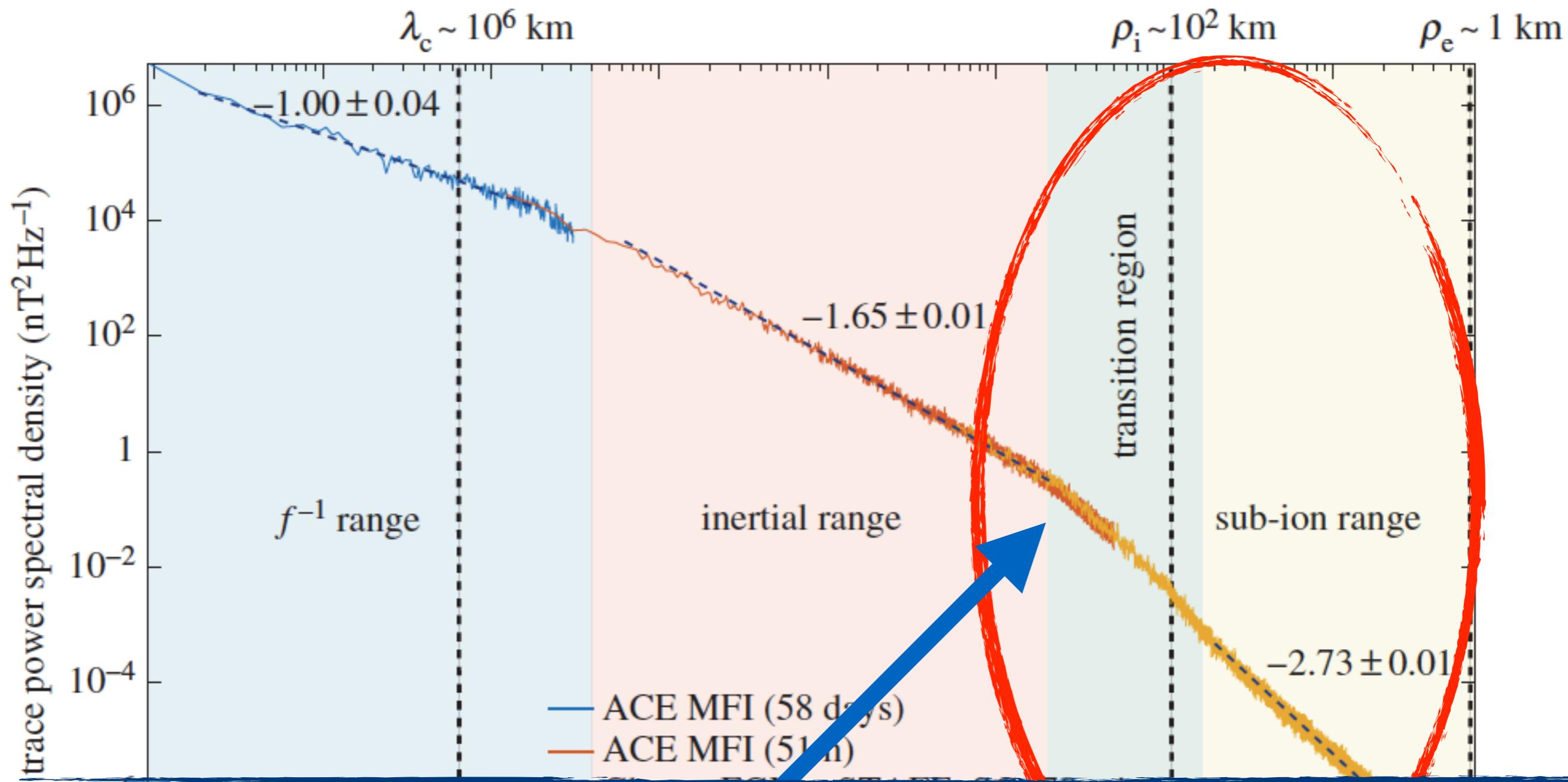
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# The standard picture of SW turbulence



# The standard picture of SW turbulence



*(We think that) What the system “chooses” in terms of turbulent cascade and turbulent heating is occurring across the transition region, and perhaps in part of the sub-ion range*

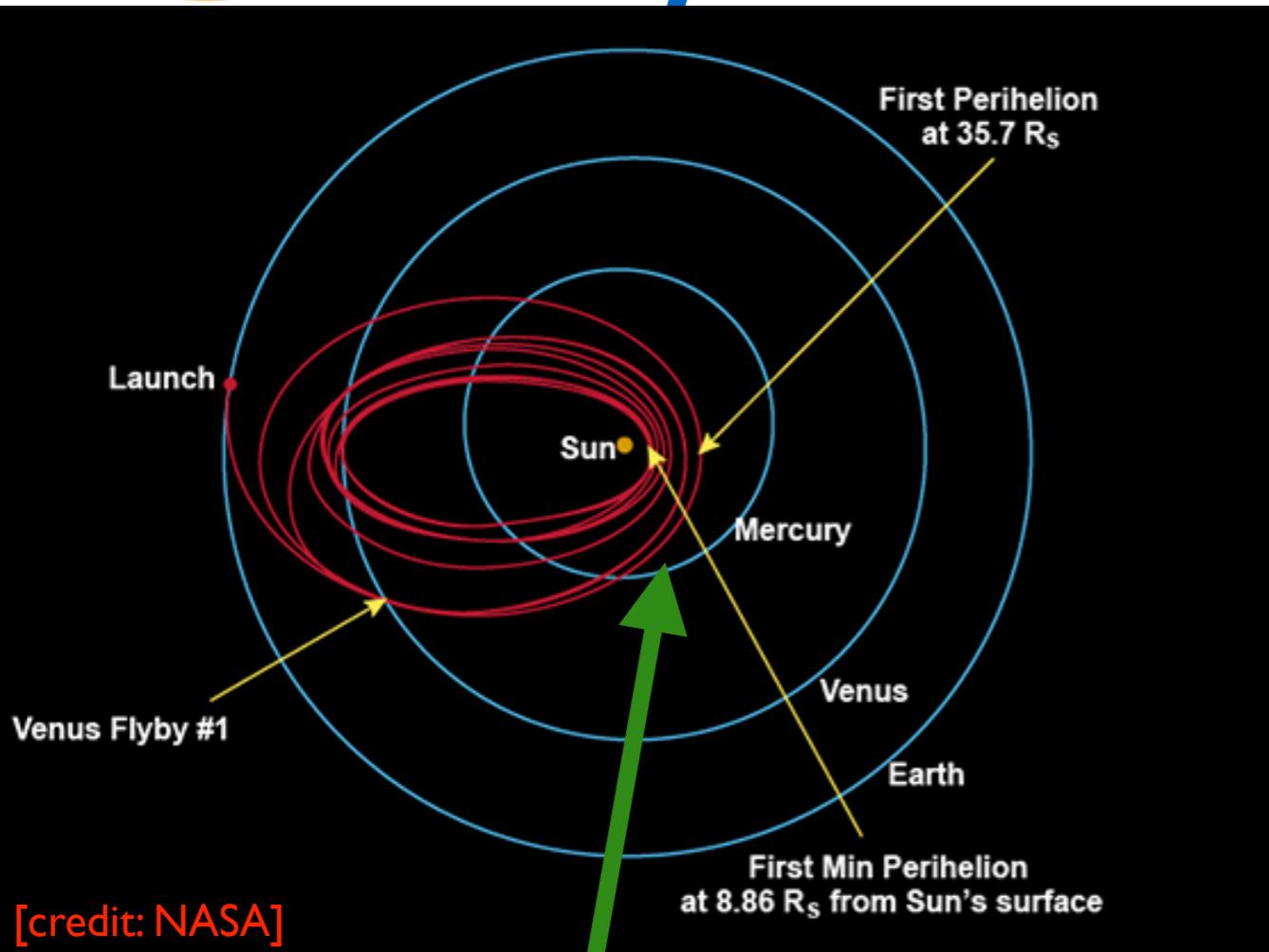
*(e.g., what kinetic cascade and heating “channels” get activated)*

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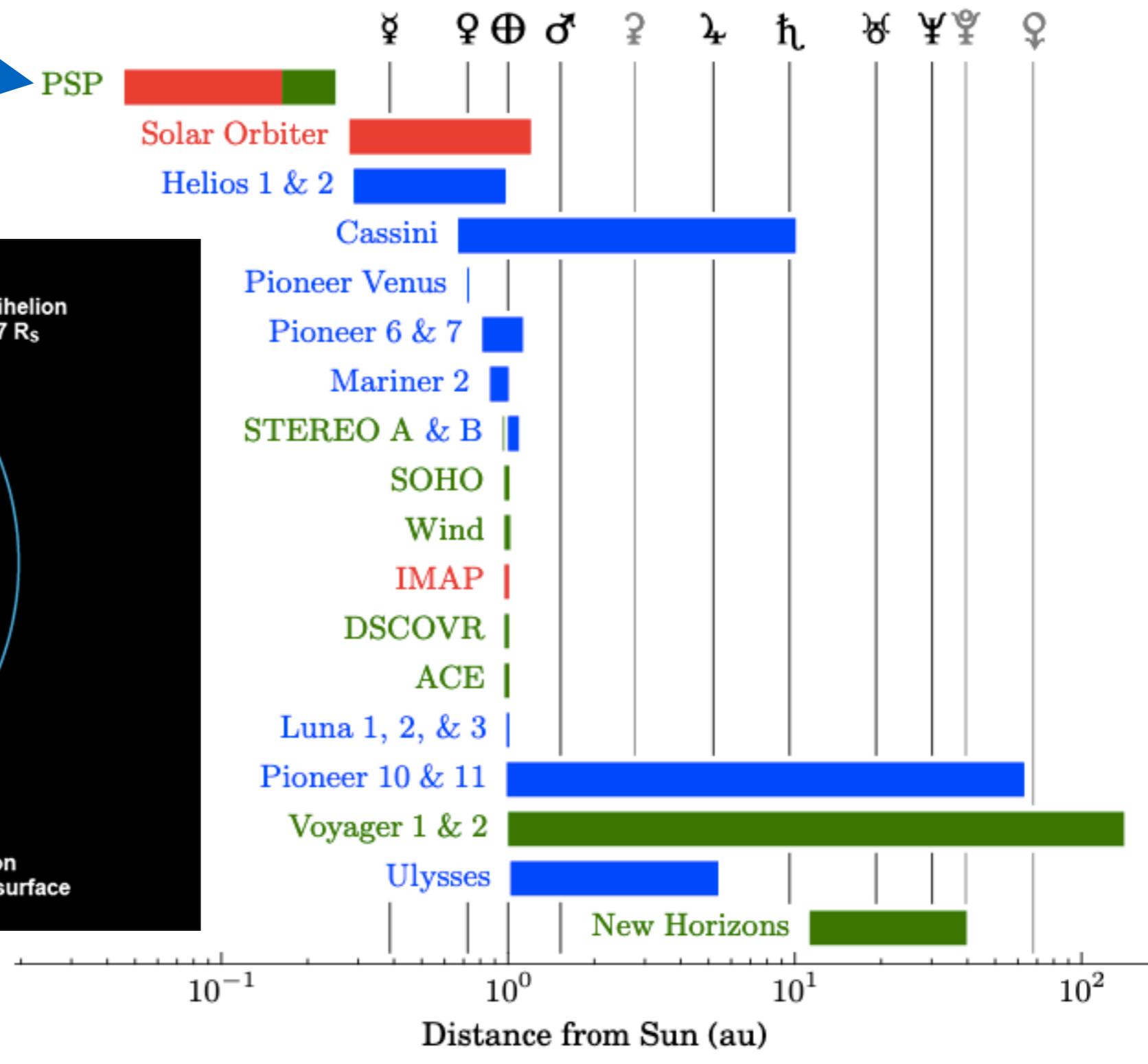
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# NASA Parker Solar Probe (PSP) mission

## Parker Solar Probe: a mission to “touch” the Sun



typically  $\beta \ll 1$  regime



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# The hybrid-PIC code PEGASUS++

[Kunz et al., JCP (2014)]

- **Fully kinetic ions** (Vlasov equation through Lagrangian approach)
- **Massless electron fluid** (generalized Ohm's law)
- **Maxwell's equations** (Faraday equation + Ampere's law w/o displacement current)

$$\frac{\partial f_i}{\partial t} + \mathbf{v} \cdot \nabla f_i + \frac{e}{m_i} \left[ \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} + \frac{\mathbf{F}_{\text{ext}}}{m_i} \right] \cdot \frac{\partial \mathbf{f}_i}{\partial \mathbf{v}} = 0,$$

$$\mathbf{E} = -\frac{\mathbf{u}_i}{c} \times \mathbf{B} + \frac{(\nabla \times \mathbf{B}) \times \mathbf{B}}{en_i c} - \frac{T_{e,0} \nabla n_i}{en_i},$$

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E},$$

- Quasi-neutrality is assumed ( $n_e = n_i$ )
- Time-correlated external forcing injecting momentum fluctuations at a prescribed power input rate
- An isothermal closure for electrons' pressure is adopted
- Small-scale dissipation: hyper-resistivity + numerical filters (only on the first two moments of  $f_i$ , i.e.,  $n_i$  and  $n_i \mathbf{u}_i$ )

# Simulation setup

$$\beta_{i,0} = 1/9 \simeq 0.11$$

$$T_{e,0}/T_{i,0} = 1$$

$$L_{\parallel} = 6L_{\perp} = 48\pi d_i \simeq 151d_i (\simeq 452\rho_i)$$

$$N_x = N_y = 288 \quad N_z = 1728$$

$$\Delta \simeq 0.087d_i (\simeq 0.26\rho_i)$$

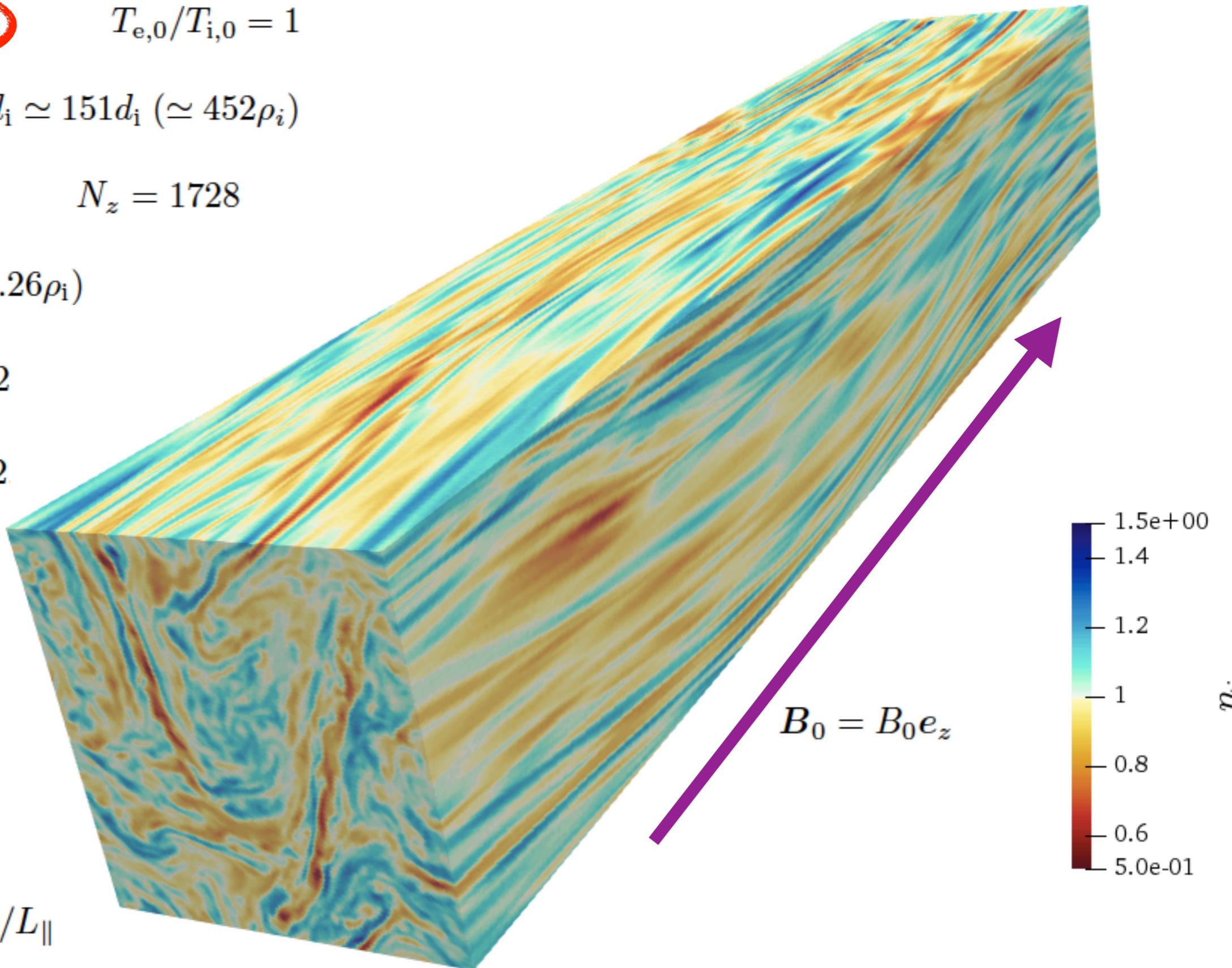
$$0.083 \lesssim k_{\perp}\rho_i \lesssim 12$$

$$0.014 \lesssim k_{\parallel}\rho_i \lesssim 12$$

512 ppc

$$\nabla \cdot \mathbf{F} = 0$$

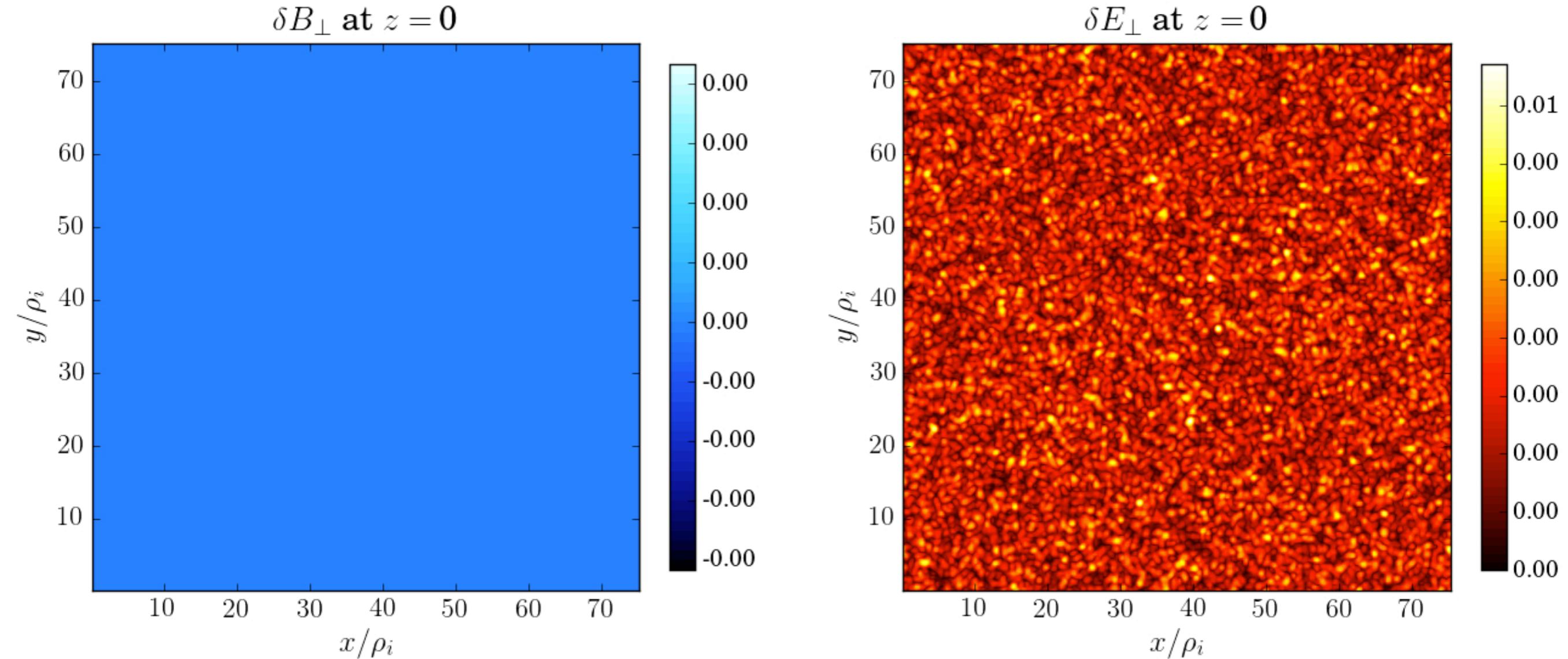
$$\delta u_{\perp}^{(\text{rms})}/v_A \approx L_{\perp}/L_{\parallel}$$



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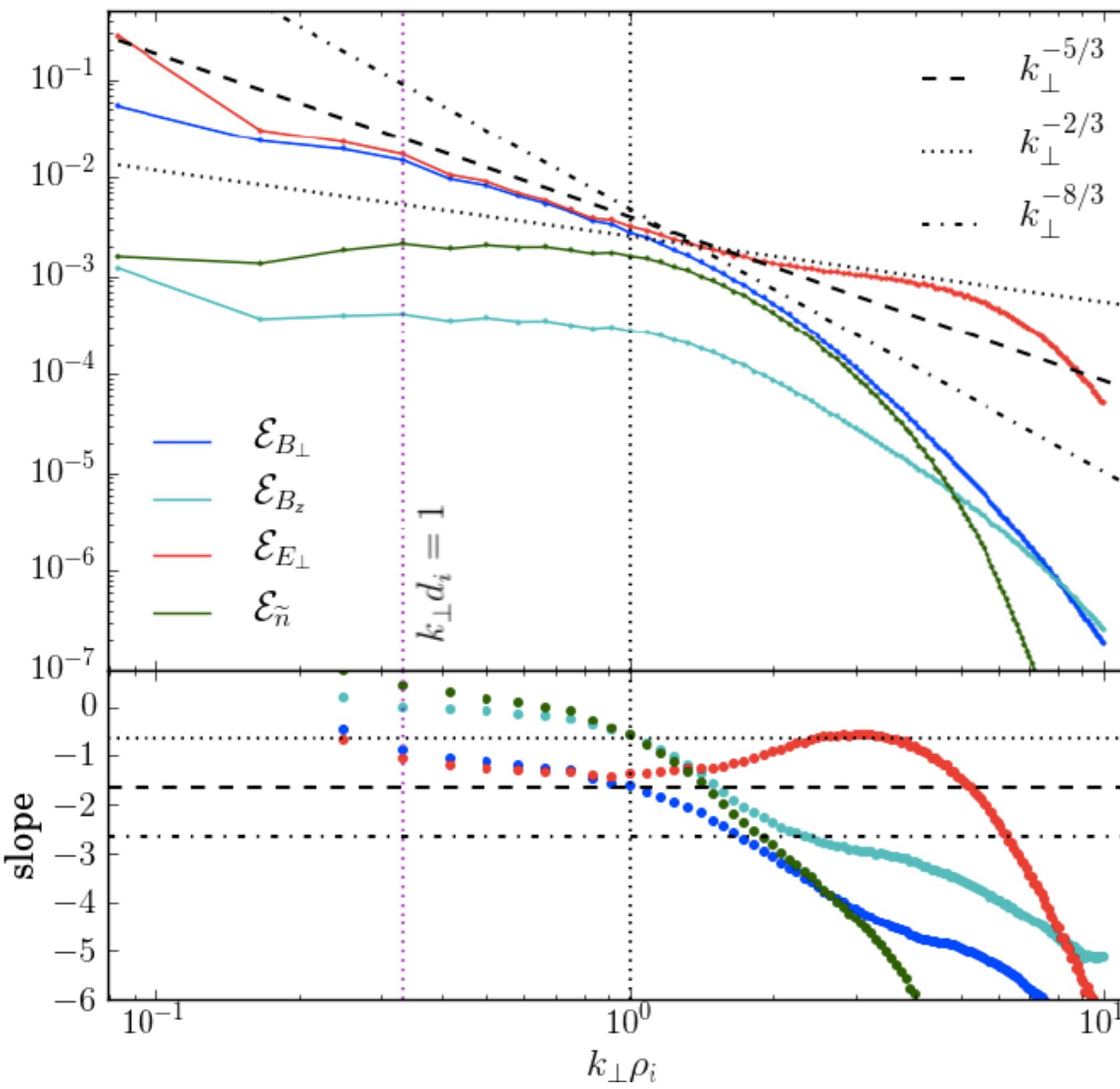
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  - Why do we care about turbulence in “collisionless” plasmas?
  - The solar wind & space missions (or, “*where we can really learn something about plasma turbulence*”)
  - What (we think) we know about plasma turbulence and turbulent heating
  - The NASA Parker Solar Probe mission
- The hybrid-PIC code PEGASUS & simulation setup
- Magnetic reconnection & spectral features of quasi-steady state turbulence
- Ion heating

# An impression of reconnection



- First current sheets and reconnection events clearly recognisable
- Several relevant features in spectra and ion heating emerge only after these first events
- Continuous formation and disruption of current sheets via reconnection in quasi-steady turbulent phase

# Spectrum of turbulent fluctuations



- Spectral break between  $d_i$  and  $\rho_i$
- Polarisation of sub-ion scale fluctuations roughly consistent with KAW-like turbulence (not shown)
- Spectral slopes steeper than the ones predicted by standard KAW turbulence
- Sub-ion-scale spectrum consistent with the picture of “**reconnection-mediated kinetic turbulence**”

see, e.g., for  
kinetic case:

Cerri & Califano, NJP (2017)  
Franci, Cerri et al., ApJL (2017)

+ “fluid” case:

Loureiro & Boldyrev, ApJ (2017); Mallet et al., JPP (2017),  
Comisso et al., ApJ (2018); Dong et al., PRL (2018), ...

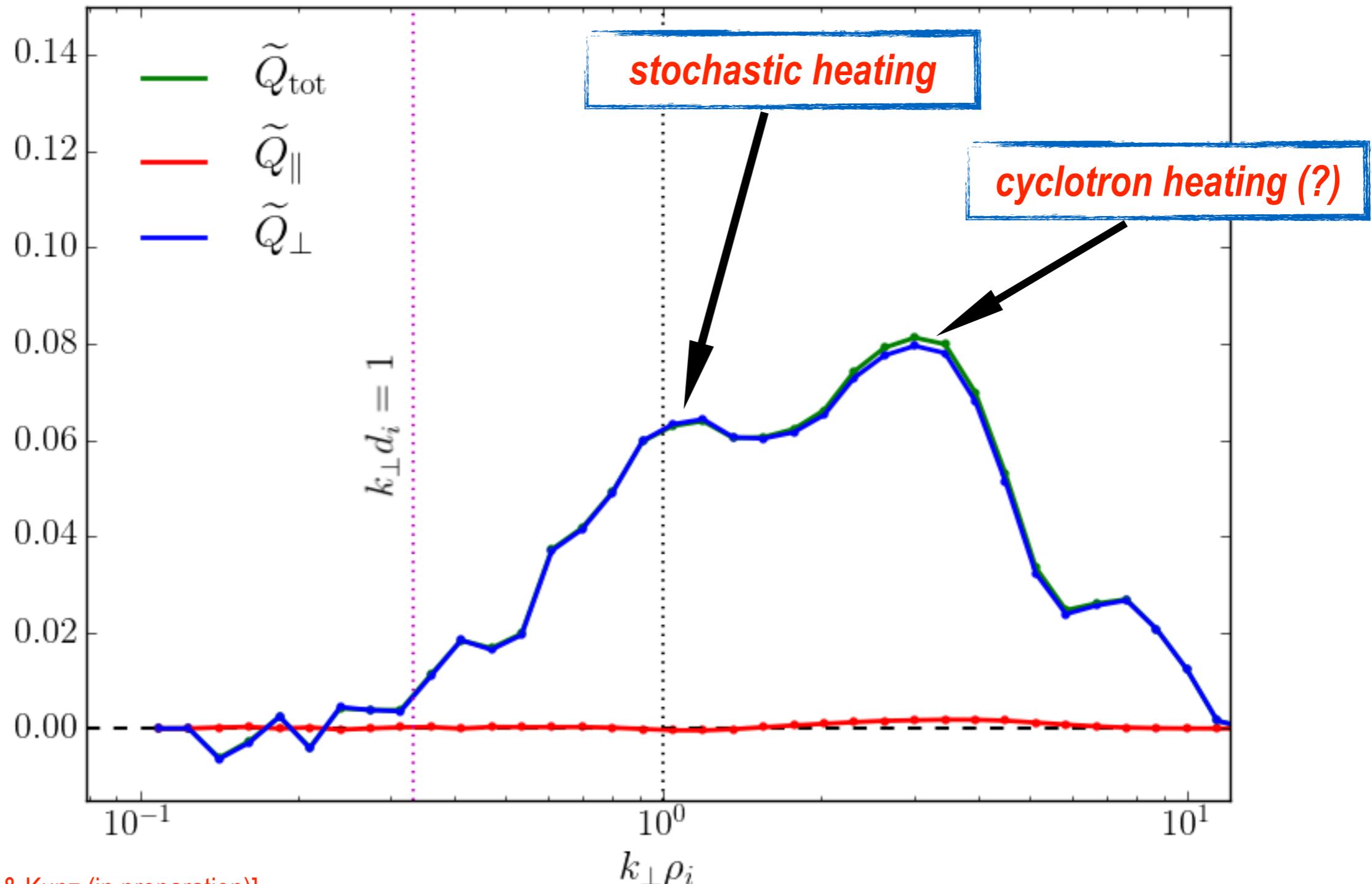
# Outline

- Turbulence & heating in astrophysical and space plasmas
  - Why do we care about turbulence in “collisionless” plasmas?
  - The solar wind & space missions (or, “*where we can really learn something about plasma turbulence*”)
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# Ion heating in k-space

*Ion heating averaged over the quasi-steady turbulent phase*

$$\tilde{Q}_{\parallel,\perp} = \frac{1}{Q_{\text{tot}}} \sum_{\text{particles}} \mathbf{w}_{\parallel,\perp} \cdot \mathbf{E}_{\parallel,\perp}(k_{\perp})$$

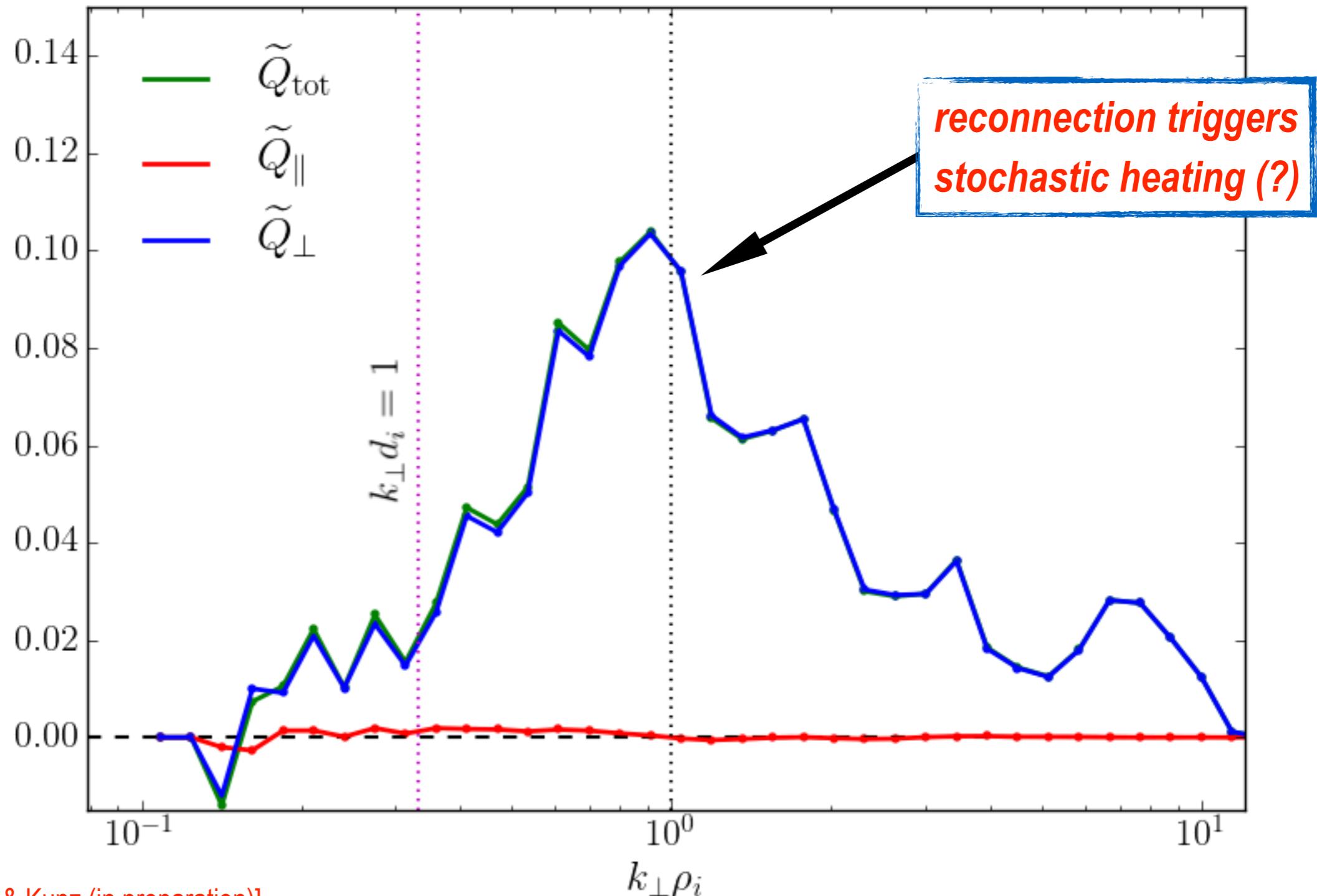


[Cerri, Arzamasskiy & Kunz (in preparation)]

# Ion heating in k-space

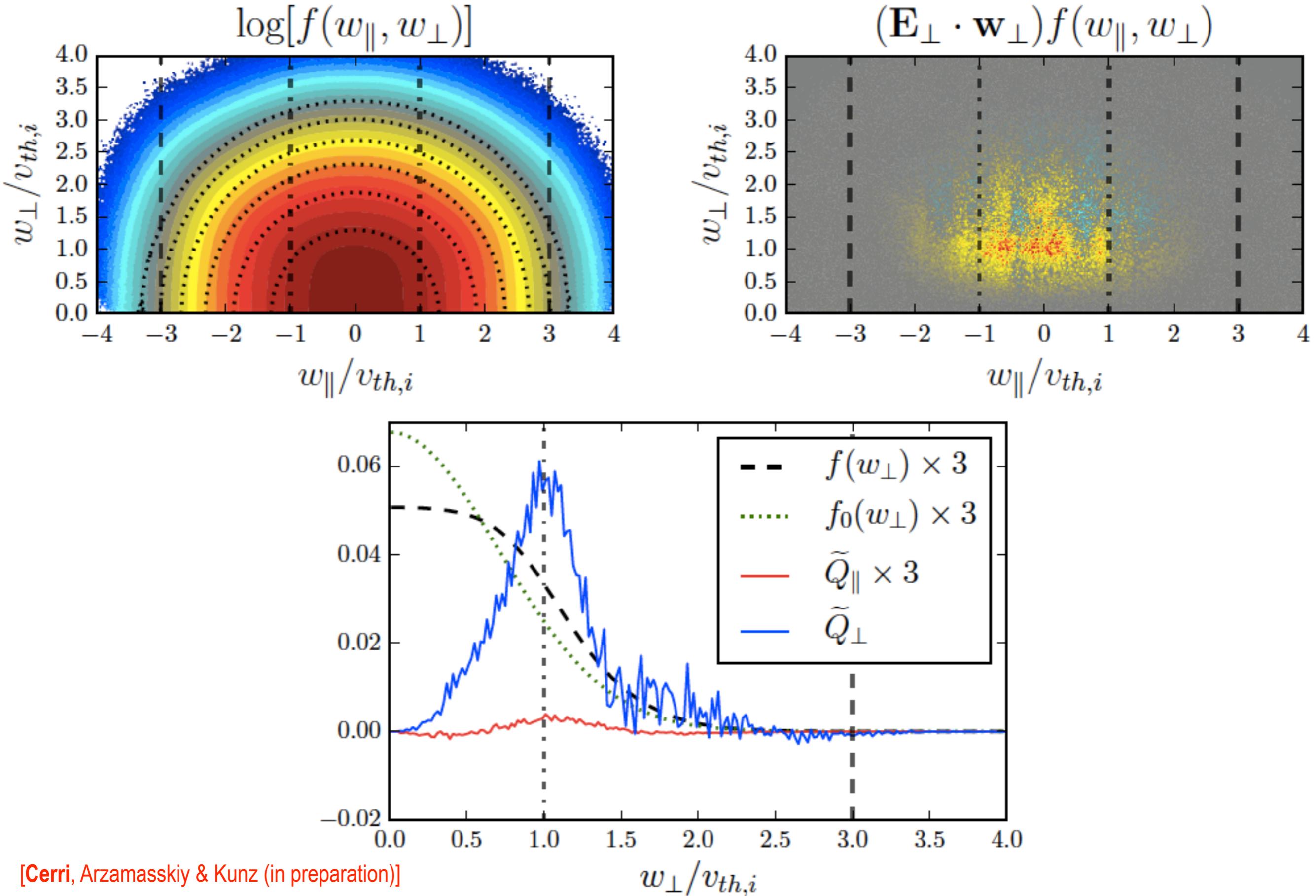
*Ion heating averaged over the onset of the first reconnection events*

$$\tilde{Q}_{\parallel,\perp} = \frac{1}{Q_{\text{tot}}} \sum_{\text{particles}} \mathbf{w}_{\parallel,\perp} \cdot \mathbf{E}_{\parallel,\perp}(k_{\perp})$$



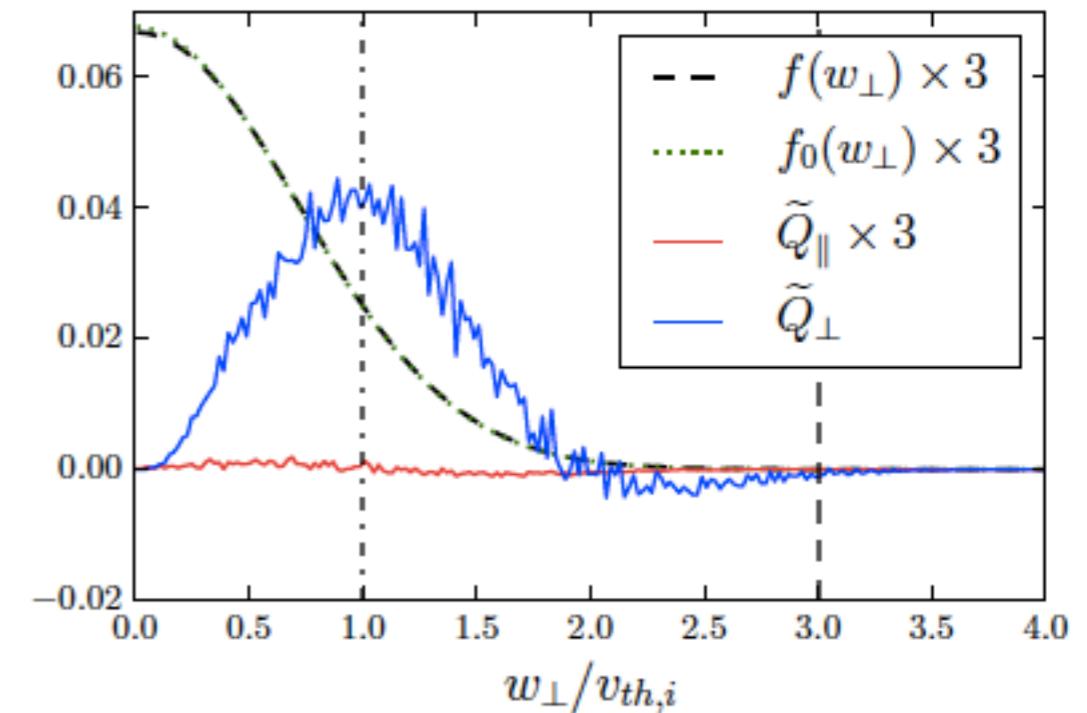
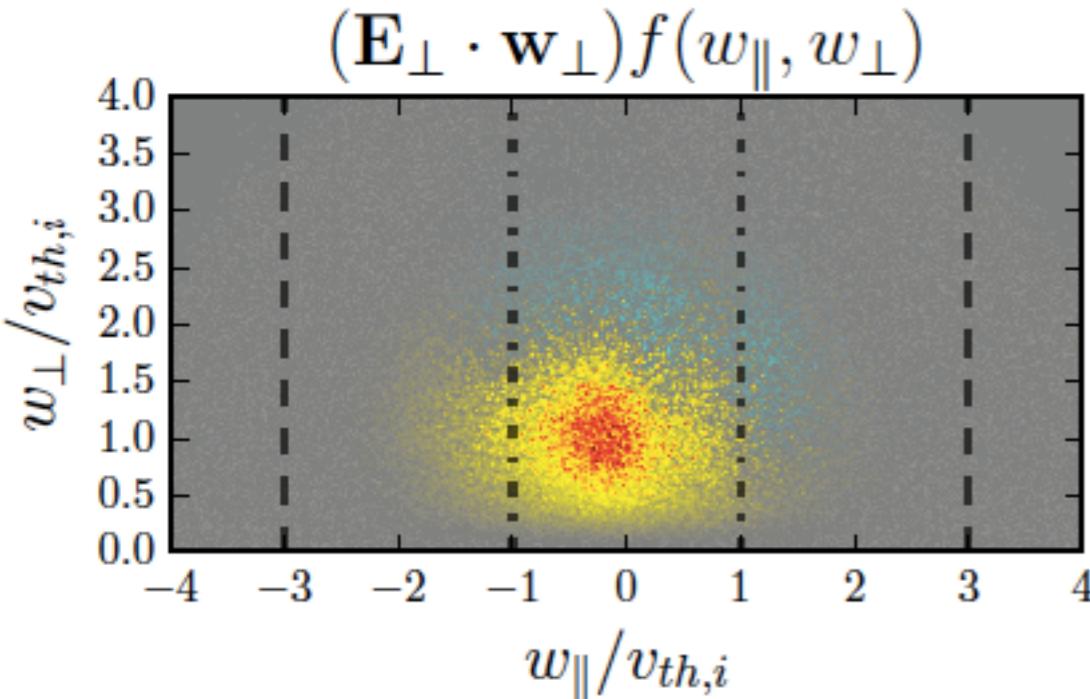
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# Ion heating in velocity space

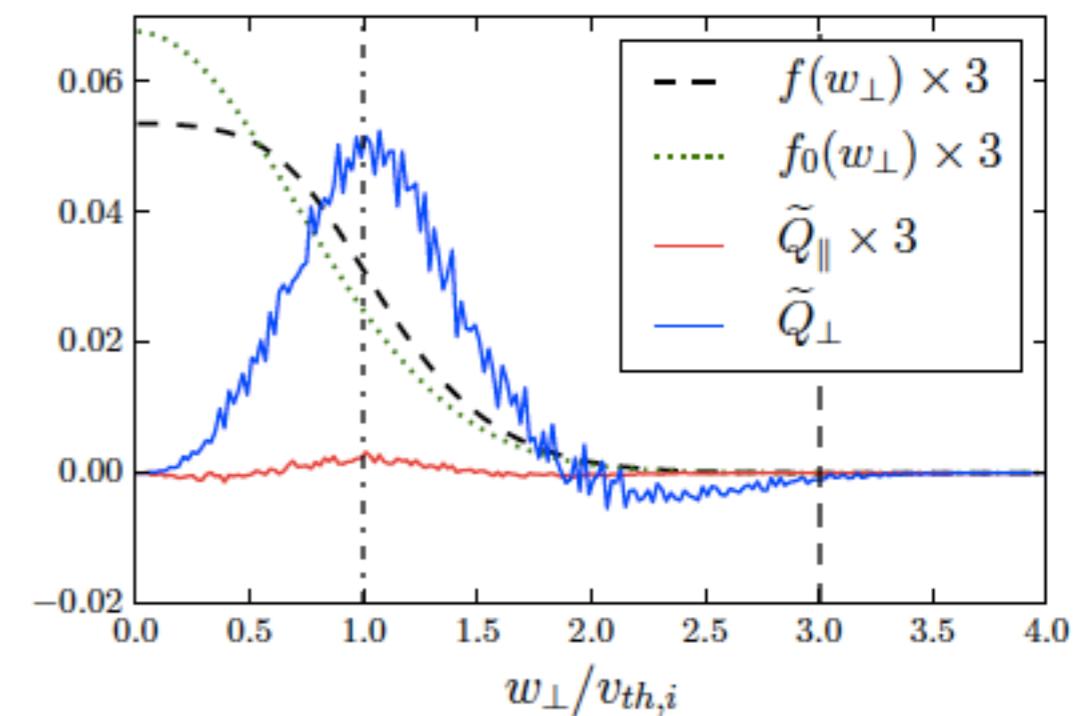
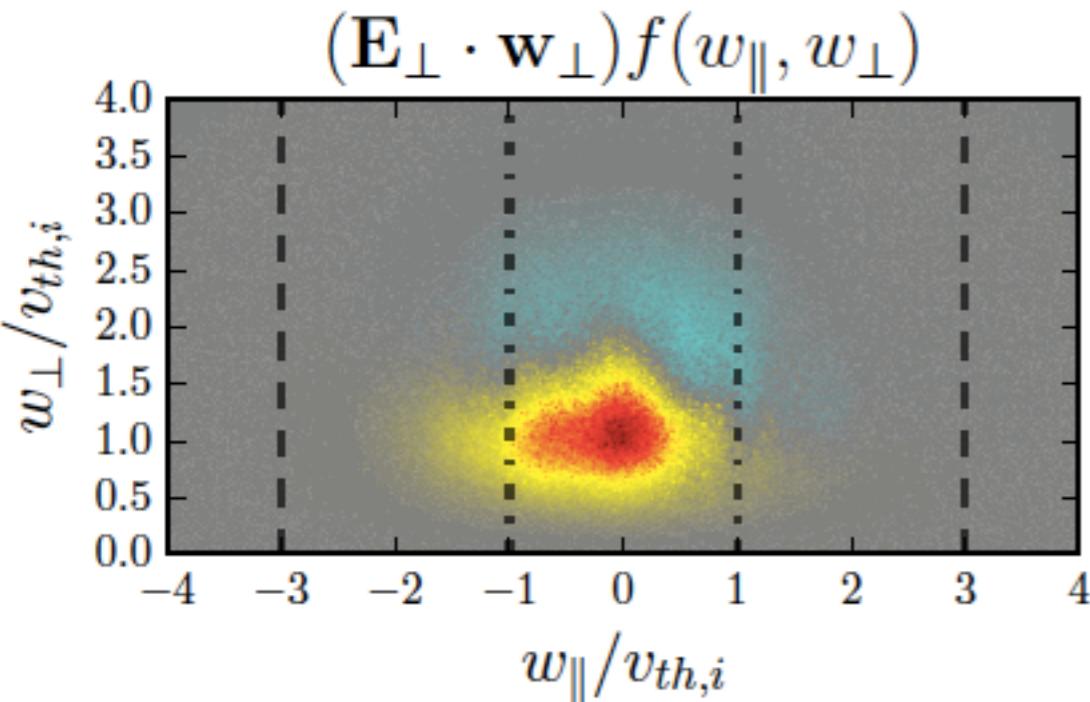


# Ion heating in velocity space

👉 averaged over the **onset of the first reconnection events**:



👉 averaged over the **entire quasi-steady turbulent phase**:



# Conclusions

- ▶ In low- $\beta$  (hybrid-kinetic) turbulence, **ion heating is predominantly perpendicular to  $B$**   
(  $Q_{\parallel} / Q_{\text{tot}} \sim 1.5 \%$  )
  - ▶ **Magnetic reconnection** seems to play a relevant role in determining the **transition to quasi-steady state turbulence** and in “activating” (some of the) **ion-heating mechanisms**
  - ▶ **Simultaneous presence of stochastic ion heating and (possibly) ion-cyclotron heating**  
(to be further investigated — very intermittent behaviour in time!)
  - ▶ From this simulation we estimate that only ~40% of the injected power goes into ion heating  
(still about an order of magnitude larger than GK prediction)
- 👉 This regime is particularly relevant for **Parker Solar Probe**, so... let's see!

Thank you!